

Eligibility Criteria Self-Certification

Attachment 1: Eligibility Criteria Self-Certification Form

As a Grantee with the Department of Water Resources' (DWRs) Financial Assistance Branch, you must complete this self-certification form to enter into a Grant Agreement with DWR to receive funds. Failure to meet and maintain these conditions and requirements may result in DWR revoking the awarded funds, withholding funding, stopping invoice payment, and/or terminating the Grant Agreement. Answers must be provided for the Applicant and all Local Project Sponsors. An answer of "No" to some questions below may make you ineligible to enter a contract with DWR.

1. Applicant Eligibility

Applicant Name:

Applicant Entity Type: Choose an item.

In the table below, please list the name of every Local Project Sponsor included in the Proposal.

Name of Local Project Sponsor	Local Project Sponsor Type
	Choose an item.
	Choose an item.
	Choose an item.
	Choose an item.

For Groundwater funding only: The Applicant, _____, is a GSA, a member agency of a GSA, an agency with an Alternative to a Groundwater Sustainability Plan (GSP) or located within an adjudicated basin.

☐ Yes ☐ No (If No, DWR cannot enter into a Grant Agreement)

2. Agricultural Water Management Compliance

Is the Applicant or any Local Project Sponsor required to submit an Agricultural Water Management Plan (AWMP) to DWR?

☐ Yes ☐ No

If answering Yes to the question above, list all agencies required to submit the most recent AWMP (2025) and the date the AWMP was verified by DWR. If any agency was required to submit an AWMP but did not do so, DWR cannot provide any funding.

[illegible]

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	enter date
	enter date
	enter date
	enter date

Are all Agricultural Water Suppliers compliant with all other requirements of an Agricultural Water Supplier including but not limited to farm gate delivery reports, Efficient Water Management Practices, Water Measurement regulations, etc.?

☐ Yes ☐ No

3. Urban Water Management Compliance

Is the Applicant or any Local Project Sponsor required to submit an Urban Water Management Plan (UWMP) to DWR?

☐ Yes ☐ No

If answering Yes to the question above, list all agencies required to submit the most recent Urban Water Management Plan (UWMP) and the date the UWMP was verified DWR. Each Urban Water Supplier must also have a complete and validated water loss audit report verified by DWR in accordance with Water Code section 10608.34. Additionally, each Urban Water Supplier proposing wastewater projects, water use efficiency projects, or drinking water projects must be compliant with the water metering requirements contained in Water Code section 525 et seq. If any agency has failed to meet the applicable requirements, DWR cannot enter into a Grant Agreement.

Agency Name	Date UWMP Verified by DWR
	enter date
	enter date
	enter date
	enter date
	enter date
	enter date
	enter date
	enter date
	enter date
	enter date

Are all Urban Water Suppliers compliant with all requirements for Urban Water Suppliers including but not limited to metering requirements (Wat. Code, § 525 et seq.), water loss audits, and monthly reporting to the State Water Resources Control Board (SWRCB)?

☐ Yes ☐ No

4. CASGEM / SGMA Portal Compliance

Are the Applicant and all Local Project Sponsors in compliance with all data reporting requirements for CASGEM and the SGMA Portal?

☐ Yes ☐ No ☐ N/A

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List all agencies required to meet CASGEM requirements. If any agencies are not in compliance, DWR cannot enter into an agreement.

Agency Name

5. Consistency with the Delta Plan

Is the Project, in whole or in part, within the Sacramento-San Joaquin Delta (Delta), as defined in Water Code section 12220 or Suisun Marsh (Marsh), as defined in Public Resources Code sections 29101 & 29101.5?

☐ Yes, the Applicant and/or Local Project Sponsors have engaged with the Delta Stewardship Council (Council) regarding the Council's regulatory policies that may be potentially applicable to the project and the consistency of the Project with the Delta Plan. (If yes and inconsistent, DWR cannot enter into an agreement.

☐ No, the Project is within the Delta or Marsh, but the Applicant and/or Local Project Sponsors have not engaged with the Council.

☐ N/A. The Project is not located, in whole or in part, in the Sacramento-San Joaquin Delta or the Suisan Marsh.

6. Open and Transparent Water Data

The Applicant and all Local Project Sponsors will adhere to the protocols developed pursuant to subdivision (a) for data sharing, transparency, documentation, and quality control (Wat. Code, § 12406(b)).

☐ Yes, the Applicant and all Local Project Sponsors have systems in place that will adhere to the required protocols.

☐ No, the Applicant and all Local Project Sponsors do not have systems in place to adhere to the required protocols; however, those systems will be in-place within 90-days of an executed Grant Agreement.

☐ No, the Applicant and all Local Project Sponsors do not have systems in place to adhere to the required protocols and do not intend to have them in place. If so, DWR cannot enter into an agreement.

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7. Public Utilities and Mutual Water Companies

A Project(s) proposed by a public utility regulated by the Public Utilities Commission or a mutual water company shall have a clear and definite public purpose and shall benefit the customers of the water system and not the investors.

☐ Yes, the Applicant and/or any Local Project Sponsor are a public utility regulated by the Public Utilities Commission or a mutual water company and the proposed Project will solely benefit the customers.

☐ No, the Applicant and/or any Local Project Sponsor are a public utility, but the investors will benefit from the proposed Project. If so, DWR cannot enter into an agreement.

☐ N/A. None of the agencies involved in the proposal are a public utility regulated by the Public Utilities Commission or a mutual water company.

If answering "Yes" above, please describe the public purpose of the proposed Project:

8. Stormwater Resource Plan (SWRP) Compliance

Does the Proposal contain any stormwater, surface water, or dry weather capture projects as defined by the SWRCB (capture for reuse, treatment, and/or infiltration).

☐ Yes ☐ No

If Yes, please complete the table below. If any stormwater and/or dry weather runoff capture projects are not included in a SWRP or FEP, then DWR cannot enter into a grant agreement. Projects that benefit a Disadvantaged Community with a population of 20,000 or less are exempt from this requirement. However, they must not be a co-permittee for a municipal separate Storm Sewer System (MS4) National Pollutant Discharge Elimination System (NPDES) permit issued to a municipality with a population greater than 20,000 (Wat. Code, § 10563(c)(2)(B)).

Project Name	Name of SWRP or FEP

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9. Surface Water Diverter Compliance

Is the Applicant or any Local Project Sponsor a surface water diverter?

☐ Yes ☐ No

If Yes, please complete the table below, indicating whether each diverter has submitted their latest annual and monthly surface water diversion reports to the SWRCB in compliance with requirements outlined in Water Code section 5100 et seq., and their Use Reports as set forth in the California Code of Regulations, title 23, section 907 et seq.

Name of Surface Water Diverter	Has Surface Water Diverter submitted all required reports to SWRCB to remain up to date? (Yes/No)

If any Surface Water Diverter has not submitted all required reports, please explain and provide the anticipated date for meeting the requirements. DWR may not be able to enter into an agreement if requirements have not been met:

10. Projects Affecting Groundwater

List any projects that directly affect groundwater levels or quality. Please attach a support letter from the appropriate Groundwater Sustainability Agency for any projects affecting groundwater in high or medium basins. For adjudicated basins, please submit a support letter from the watermaster. You can find your groundwater basin and the priority by going to the following link:

<https://sgma.water.ca.gov/webgis/?appid=SGMADataViewer#gwlevels%C2%A0>

Project Name	Agency Name	Groundwater Basin	Basin Priority

11. Groundwater Management Compliance and Groundwater Sustainability Plans (GSPs)

The Applicant and any Local Project Sponsors must maintain continuing eligibility with the current Sustainable Groundwater Management Act (SGMA; Wat. Code, § 10720 et seq.) requirements as they come into effect.

☐ Yes, the Applicant and Local Project Sponsors agree to maintain continuing eligibility with the most current SGMA requirements, as applicable.

☐ No, the Applicant and Local Project Sponsors do not agree to maintain continuing eligibility with the most current SGMA requirements, as applicable. DWR cannot enter into a Grant Agreement.

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12. Authorizing Resolution

An Authorizing Resolution is a resolution adopted by the applicant's governing body authorizing the application for a grant under this program that designates a representative to sign the application, and in the event of an award of grant funds, a representative to execute the funding agreement and all necessary documentation (e.g., invoices, progress reports, etc.) is required. A signed, certified Authorizing Resolution must be received prior to the execution of a grant agreement with the State.

Is the Authorizing Resolution complete and included with the application?

☐ Yes ☐ No

If the Authorizing Resolution is not included at time of application, please provide an estimate for when it will be complete:

13. Review of Agreement Template

Have the Applicant and Applicant's legal counsel reviewed the agreement template and all terms and conditions?

☐ Yes ☐ No

I, _____, understand that the Department of Water Resources will rely on this signed certification in order to approve funding and that false and/or inaccurate representations in this Self-Certification may result in loss of all funds awarded to the Grantee and that reimbursement of any grant funds is reliant upon the Grantee and all local project sponsors continuing to meet all eligibility requirements outlined within this Self-Certification form, the 2026 Watershed Resilience Guidelines, 2026 Conservation Implementation Proposal Solicitation Package, and the Grant Agreement terms and conditions. Additionally, for the aforementioned reasons, the Department of Water Resources may withhold disbursement of project funds and/or pursue any other applicable legal remedies.

Name of Authorized Representative
(Please print)

Signature

Title

Click or tap to enter a date.
Date