

Sustainable Groundwater Management (SGM) Grant Program

SGMA Implementation Round 2 – Applicant Workshop

October 20, 2022



CALIFORNIA DEPARTMENT OF WATER RESOURCES
FINANCIAL ASSISTANCE BRANCH
DIVISION OF REGIONAL ASSISTANCE

SUSTAINABLE GROUNDWATER
MANAGEMENT (SGM)
GRANT PROGRAM



Agenda



- Review of Mission/Vision
- Review of Online Tools
- Overview of GRanTS
- Overview of Templates
- Review Scoring Criteria
- Questions/Answers



CALIFORNIA DEPARTMENT OF
WATER RESOURCES

SUSTAINABLE GROUNDWATER
MANAGEMENT (SGM)
GRANT PROGRAM



SGM Grant Program



Our Mission: To provide funding to GSAs and other responsible entities under SGMA

to promote healthy and sustainable groundwater basins,

to reduce and eliminate undesirable effects, and

to promote projects that provide multiple benefits while also improving groundwater supply and quality.



CALIFORNIA DEPARTMENT OF
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SGM Grant Program – California Groundwater Project Tool

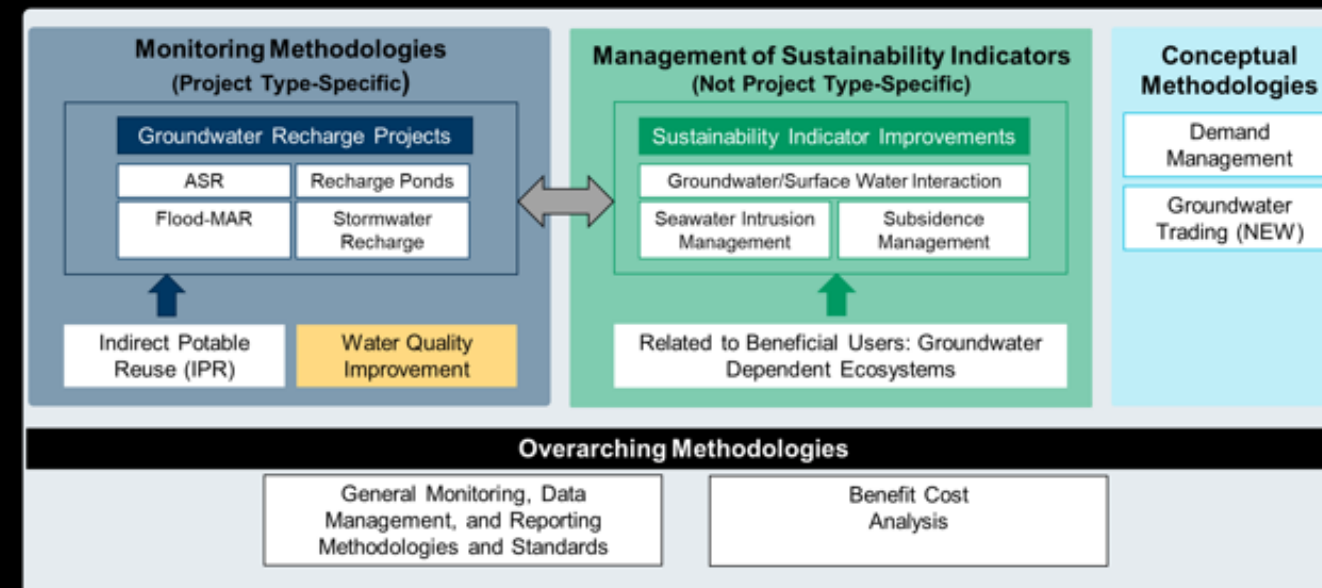
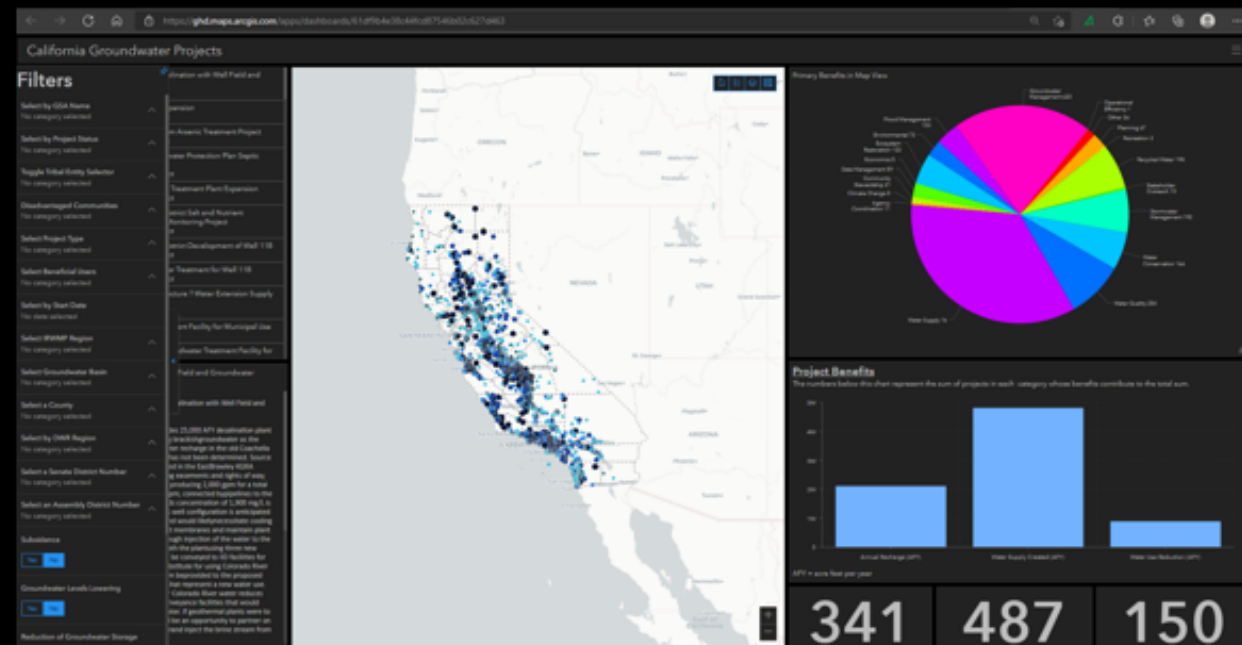
Purpose: To develop data analysis standards and monitoring methodologies to ensure that grant funding resources are awarded to most efficient multi- and cost-beneficial projects that improve groundwater sustainability.

Project Data Analysis

- Developed Database of ≈ 3,000 Projects
- Developed 20+ Sample Case Studies
- Developed Spatial Interactive Tool
 - Links to other DWR Data management tools
 - Enables Tracking of Outcomes from Grant funded Groundwater management projects

Monitoring Methodologies

- Developed 14 Monitoring Methodologies for tracking outcomes
 - 6 Project Specific Monitoring Methodologies
 - 4 Sustainability Indicators Monitoring Methodologies
 - 2 Conceptual Monitoring Methodologies
 - 2 Overarching Monitoring Methodologies
- Developed Project Proponent Checklist
- Developed Vocabulary/Terminology List



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\$2.5 million to develop K-12 educational materials, videos on groundwater, Tribal outreach materials, and geotechnical, engineering, geological, hydrologic, etc. services to the highest priority water systems identified in our Heat Maps.

\$2 million to develop online form for drinking water systems in need, outreach and education, and to run pilot studies on groundwater dependent ecosystems.


\$10 million to identify, outreach/engage, and provide technical assistance to small farmers in medium and high priority basins and COD basins.

\$4 million to continue with the original contract and expand the area down to Southern California and increase assistance in Northern California.

Technical Assistance Program



DEPARTMENT OF WATER RESOURCES
SGMA Underrepresented Community Technical Assistance Program



The purpose of the Technical Assistance Program (Program) is to assist the California Department of Water Resources (DWR) in implementing Proposition 68-eligible needs, risk, and vulnerability assessments, and other technical assistance services, to Tribes, Tribal Communities, and Underrepresented Communities. Tribes, Tribal Communities, and Underrepresented Communities have not historically been included in decision-making processes. For the purposes of this Program, Tribes and Tribal Communities include federally recognized Native American Tribes and California State Native Tribes listed on the Native American Heritage Commission's (NAHC) California Tribal Consultation List and are collectively referred to herein as "Tribe" or "Tribes." Underrepresented Communities include disadvantaged communities (DACs) where median household income (MHI) is less than 80% of the statewide MHI, severely disadvantaged communities (SDACs) where the MHI is less than 60% of the statewide MHI, private domestic well owners, small farmers and/or small growers, and members of fringe communities. DACs, SDACs, economically distressed areas, Tribes, environmentally disadvantaged communities, and fringe areas will collectively be referred to as Underrepresented Communities.



Tribal Land

- Tribal Land of California

Underrepresented Communities

- Disadvantaged Communities
- Severely Disadvantaged Communities

Geographic Boundaries of the Program

- Northern California
- Central California
- Central Coast



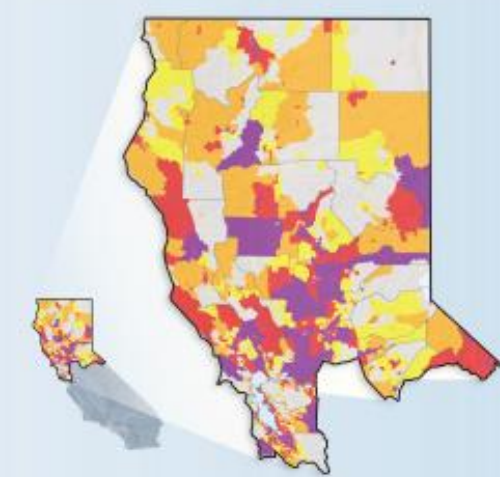
Community Outreach

Provide Educational Materials to Tribal Government and Underrepresented Communities





Community Mapping and Prioritization



Maps that illustrate prioritized areas within California have been prepared and included in the Underrepresented Communities section of the Sustainable Groundwater Management Grant Program website (<https://water.ca.gov/sgmgrants>).

These "heat maps" show regions of the state from lowest to highest priority represented by a color scale that ranges from gray to purple. Additional maps that illustrate various information collected for the Program have also been prepared.



A + B + C + D + E
Highest to Lowest Priority

Data Sources

- United States Census Bureau
- California Department of Water Resources Disadvantaged Communities Mapping Tool
- California Office of Environmental Health Hazard Assessment
- California Water Resources Control Board Human Right to Water Portal
- California Governor's Office of Emergency Services GIS Data Hub
- California Department of Water Resources SGM Data Viewer
- California Department of Water Resources Critically Overstressed Basins
- California Department of Water Resources Household Water Supply Shortage Reporting System


* Geographic information systems (GIS) create, manage, analyze, and map all types of data.




Community Workshops



Assess groundwater related needs, risks and vulnerabilities in Tribal Communities and Underrepresented Communities.





Technical Assistance



Provide Technical Assistance in the form of preliminary engineering reports to address needs, risks and vulnerabilities.

CALIFORNIA DEPARTMENT OF
WATER RESOURCES

www.water.ca.gov/urctaprogram

Funding Sources

Implementation: ~\$231 million

- **\$17 million** provided by SB-170 exclusively for San Joaquin Valley subbasins
- **\$1.5 million** remaining in SB-170
- **\$47 million** from AB-179
- **\$47.2 million** from AB-211
- **~\$62 million** in remaining Proposition 68 implementation funds
- **\$57 million** in future appropriations in FY 23/24, dependent upon legislature approval



Funding Summary

Round 2 – Proposition 68 and General Funds

Minimum Grant Amount: \$1 million
per basin

Maximum Grant Amount: \$20
million per basin

- Priority will be given for medium and high priority basins and **to applicants that did not receive previous funding from this SGMA Implementation***
- **\$15 million** in Proposition 68 funds used towards projects located within and benefitting a Severely Disadvantaged Community (SDAC)
- **\$35 million in General Funds, or 30% (whichever is greater), must be used to address the needs, risks, and/or vulnerabilities in communities identified by the SGM Grant Program's Underrepresented Community Technical Assistance Program**



Who Is Eligible to Apply?

Eligible Applicants

- GSAs, Member agencies of a GSA, Agencies with an approved Alternative to GSP, or entity that represents a GSA
- Eligible applicants within basins that are considered probationary under SGMA by the State Water Resources Control Board (State Water Board)
- Project and service area must be within a medium and high priority basin, including COD Basins
- Eligible applicants within adjudicated basins that have/will adjudicate after January 1, 2015



Eligibility

Examples of Eligible Project Types

- Groundwater recharge projects with surface water, stormwater, recycled water, or other conjunctive use projects
- Projects that prevent or clean up contamination of groundwater that serves as a source of drinking water
- Programs that support...
 - Water supply reliability
 - Water conservation
 - Water use efficiency
 - Water banking, exchange, and reclamation
- Revisions, updates, and/or modifications to a GSP or Alternative



SCHEDULE

Solicitation Dates:

- Solicitation opened October 4, 2022
- Solicitation closes November 30, 2022
- Draft Award List April/May 2023
- Final Award July/August 2023

Eligible Costs

- Reimburse from October 4, 2022 – April 30, 2026

Final Payment Date

- On or before June 30, 2026*



GRanTS



Department of Water Resources

GRANTS REVIEW AND TRACKING SYSTEM

[Home](#) | [PSPs](#) | [Projects](#) | [Contracts](#)

Active PSPs

| Program Name | PSP Name | Released On | Due Date | Action | Attachments |
|----------------------------|--|-------------|--------------------|--------------------------------|-----------------------------|
| IRWM (Implementation) | SGMA Implementation Round 2 | 10/04/2022 | 11/30/2022 5:00 PM | Start Proposal | Attachments |
| Desalination Grant Program | Desalination Continuous Application 2022 | 09/01/2022 | 10/5/2022 5:00 PM | Start Proposal | No Attachments |



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GRunTS cont. (2)

Attachment 1:

| Applicant Information | | | |
|----------------------------------|------------------|--|-----------------|
| Organization Name* | | | |
| Point Of Contact | First Name:* | | Last Name:* |
| | Email:* | | |
| | Division Name: | | Phone:* |
| | Address Line 1:* | | Address Line 2: |
| | City:* | | State:* |
| | Zip:* | | |
| Point Of Contact Position Title* | | | |
| Proposal Name* | | | |
| Proposal Objective* | | | |

| Budget Information | |
|----------------------|--------|
| Other Contribution | \$0.00 |
| Local Contribution | \$0.00 |
| Federal Contribution | \$0.00 |
| Inkind Contribution | \$0.00 |
| Amount Requested* | \$0.00 |
| Total Proposal Cost* | \$0.00 |



GRanTS cont. (3)

| Geographic Information | | | | | | |
|----------------------------------|------------------|--|-----|--|-----|--|
| Latitude* | <u>DD</u> (+/-): | | MM: | | SS: | |
| Longitude* | <u>DD</u> (+/-): | | MM: | | SS: | |
| Longitude/Latitude Clarification | | | | | | |
| Location | | | | | | |
| County* | | | | | | |
| Ground Water Basin | | | | | | |
| Hydrologic Region | | | | | | |
| Watershed | | | | | | |



GRanTS cont. (4)

Section : Questions

The answers to these questions will be used in processing the application and determining eligibility and completeness.

Q.1. Previous Funding: Has the applicant received prior funding through another grant source? If so, identify the source and amount of funding provided for the Project.*

Q.2. Project Representatives: Provide the name and details of the Project Director who is responsible for signing and executing the Agreement for the applicant. This is the authorized person as outlined within the adopted resolution and the title within the adopted resolution must align with the title provided here. Persons that are contractors/consultants or their subs cannot be listed as the Project Director. Other entities included in the GSA can be listed here.*

Q.3. Project Manager: Provide the name, title, and contact information of the Project Manager from the applicant agency or organization that will be the day-to-day contact on this application. This person must be an employee of the applicant agency and cannot be contractors/consultants or their subs.*



GRanTS cont. (5)

Q.4. Underrepresented Community Assistance: Will the Project benefit a Tribe or another Underrepresented Community?*

- ☐ a) Yes
☐ b) No

Q.4.1.: If so, how much of the funds requested will directly benefit the Underrepresented Community?

Q.4.2.: How much of the funds being requested will benefit a Tribe(s)?

Q.4.3: How much of the funds being requested will benefit an SDAC?

Q.5. Other Project Representatives: Please provide a list of all nonprofit organizations that you are partnering with, which component they are involved with, their roles/responsibilities, and how much of the grant funds will be paid to the nonprofit.*

Q.6. Schedule Question: Will the Project be ready for construction within 6 months of grant awards (anticipated July 2023). Identify which components will be ready for construction and the estimated date construction will begin, if applicable.

Q.7. San Joaquin Valley (SJV) Funding: Please tell us if the Project or a component is located within a SJV Subbasin and is one of the three eligible funding activities located on Page 7 of the 2021 PSP.



GRanTS cont. (6)

Q.8. Certification: By submitting the application, the applicant and its authorized representative are certifying that:

- a) The applicant is an eligible entity;**
- b) He/She is aware that any attachment exceeding the page limit listed in the attachment templates will NOT be reviewed;**
- c) He/She is aware that, once the proposal is submitted in GRanTS, any privacy rights and other confidentiality protections offered by law with respect to the application package and project location are waived;**
- d) He/She is aware that, if the proposed Project is chosen for funding, any privacy rights and other confidentiality protections offered by law with respect to any portion of the grant (including the Agreement, all deliverables, all invoices, and backup documentation supporting the invoices, and all reporting requirements outlined within the agreement) are waived;**
- e) He/She agrees to the Start and End Dates provided in this application and will complete the project within the dates provided; and**
- f) He/She, and their attorney, has read and agrees to all the Terms and Conditions of the Agreement template.***

- ☐ a) Yes
- ☐ b) No

If you answer “No”, your application WILL NOT be assigned for review and be deemed “Incomplete”.



GGrantTS cont. (7)

Section : Climate Risk in Investments

The answers to these questions will be used in surveying Program applicants.

Q.1. Climate: Does the organization have a primary point of contact for climate change?

- ☐ a) Yes
☐ b) No

If yes, who is it and to what position in the organization does that person report?

Q.2. Climate: Does the organization have a strategic business plan that considers climate change?

- ☐ a) Yes
☐ b) No

If yes, please submit a copy.

Q.3. Climate: Has the organization adopted any policies or made any formal public statements about climate change?

- ☐ a) Yes
☐ b) No

If yes, please submit a copy.

Q.4. Climate: Has the organization conducted a climate change vulnerability assessment?

- ☐ a) Yes
☐ b) No

If yes, please submit a copy.

Q.5. Climate: How would you describe your organization's capacity to adapt to the impacts of climate change? (Open ended; one to three paragraphs.)



GRanTS cont. (8)

Section : Attachments

Provide the attachments listed below by attaching files to the GRanTS application. When attaching files, please use the naming convention found in Section V.B of this PSP. Requirements for information to be included in these attachments are found in Section V.B.2 of this PSP or in the supplied templates.

Attachment 1: Authorizing Documentation (e.g., adopted resolution using example provided on Page 18)*

Attachment 2: Eligibility Criteria Self-Certification Form*

Attachment 3: Work Plan (Applicant MUST use supplied template)*

Attachment 4: Maps, supporting letters, figures, tables, or budget backup documentation*



Resolution

Added “and the Budget Acts of 2021 and 2022” into the resolution template.

Varies from PSP!!

Use template on website:
www.water.ca.gov/sgmgrants



CALIFORNIA DEPARTMENT OF
WATER RESOURCES

RESOLUTION NO. _____

Resolved by the *<Insert Name of Applicant Governing Body>*, that an application be made to the Department of Water Resources to obtain a grant under the 2021 Sustainable Groundwater Management (SGM) Grant Program SGMA Implementation Grant pursuant to the California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access For All Act of 2018 (Pub. Resources Code, § 80000, et seq.) and the Budget Acts of 2021 and 2022. Be it further resolved that the *<Insert Name of Applicant Governing Body>* has the authority and shall enter into a funding agreement with the Department of Water Resources to receive a grant for the: *<Insert Project Name>*.

The *<Insert title of Authorized Applicant Official>* of the *<Insert Name of Applicant>*, or designee, is hereby authorized and directed to prepare the necessary data, conduct investigations, file such application, execute a funding agreement and any future amendments thereto, submit invoices, and submit any reporting requirements with the Department of Water Resources.

Passed and adopted at a meeting of the *<Insert Name of Applicant>* on *<Insert date>*.

Authorized Original Signature: _____

Printed Name: _____

Title: _____

Clerk/Secretary: _____

CERTIFICATION

I do hereby certify that the foregoing is a full, true, and correct copy of a resolution duly and regularly adopted at a meeting of the *<Insert Name of Applicant>* held on *<Insert date>*.

Clerk/Secretary: _____

SUSTAINABLE GROUNDWATER
MANAGEMENT (SGM)
GRANT PROGRAM



Resolution Common Questions

Final is better but can submit draft resolution and include a date when the final will be expected.

Applicant uses language in Attachment 1 template.

Cooperating Entities submit different resolution giving applicant authority to apply on their behalf.



Eligibility Criteria Self-Certification Form

Attachment 2:

State of California DEPARTMENT OF WATER RESOURCES California Natural Resources Agency

Eligibility Criteria Self-Certification

Attachment 2: Eligibility Criteria Self-Certification Form

As a Grantee of General Funds and/or Proposition 68 grant funds with the Department of Water Resources' (DWRs) Financial Assistance Branch, you must complete this self-certification form to enter into a Grant Agreement with DWR to receive grant funds. Failure to meet and maintain these conditions and requirements may result in DWR revoking the grant award, withholding grant funding, stopping invoice payment, and/or terminating the Grant Agreement. Answers must be provided for the primary Awardee and all member agencies within the Groundwater Sustainability Agency (GSA) or adjudicated basin. An answer of No to some questions below may make you ineligible to enter a contract with DWR.

A. Grantee Name:

Member Agencies

| Member Agency |
|----------------------|
| <input type="text"/> |
| <input type="text"/> |
| <input type="text"/> |
| <input type="text"/> |
| <input type="text"/> |
| <input type="text"/> |
| <input type="text"/> |
| <input type="text"/> |
| <input type="text"/> |
| <input type="text"/> |
| <input type="text"/> |

The Grantee, , is a GSA, a member agency of a GSA, an agency with an Alternative to a Groundwater Sustainability Plan (GSP), or located within an adjudicated basin. ☐

☐ Yes ☐ No If no, DWR cannot enter into a Grant Agreement.

2. **Agricultural Water Management Compliance:** Is the Grantee or any member agency required to submit an Agricultural Water Management Plan (AWMP) to DWR? ☐ Yes ☐ No

If yes, list all member agencies required to submit the most recent AWMP (2015, 2020) and the date the AWMP was submitted to DWR. If yes and not submitted, DWR cannot enter into a Grant Agreement.

A.

| Member Agency | Date AWMP Submitted to DWR |
|----------------------|----------------------------|
| <input type="text"/> | enter date |
| <input type="text"/> | enter date |
| <input type="text"/> | enter date |
| <input type="text"/> | enter date |
| <input type="text"/> | enter date |
| <input type="text"/> | enter date |
| <input type="text"/> | enter date |
| <input type="text"/> | enter date |
| <input type="text"/> | enter date |
| <input type="text"/> | enter date |

DWR 9822 (New 9/22) 1 | Page

State of California DEPARTMENT OF WATER RESOURCES California Natural Resources Agency

Eligibility Criteria Self-Certification

3. **CASGEM / SGMA Portal:** Has the Grantee and all member agencies met the requirements of DWR's CASGEM Program and is current with all data reporting requirements for CASGEM and the SGMA Portal?

☐ Yes ☐ No ☐ N/A

A. List all member agencies required to meet CASGEM requirements. If not current, DWR cannot enter into an agreement.

| Member Agency | Date |
|----------------------|------------|
| <input type="text"/> | enter date |
| <input type="text"/> | enter date |
| <input type="text"/> | enter date |
| <input type="text"/> | enter date |
| <input type="text"/> | enter date |
| <input type="text"/> | enter date |
| <input type="text"/> | enter date |
| <input type="text"/> | enter date |
| <input type="text"/> | enter date |

4. **Consistency with the Delta Plan:** Is the Project, in whole or in part, within the Sacramento-San Joaquin Delta (Delta) or Suisun Marsh (Marsh)?

☐ Yes, the Grantee and member agencies have engaged with the Delta Stewardship Council (Council) regarding the Council's regulatory policies that may be potentially applicable to the project and the consistency of the Project with the Delta Plan. (If yes and inconsistent, DWR cannot enter into an agreement.

☐ No, the Project is within the Delta or Marsh, but the Awardee and member agencies have not engaged with the Council.

☐ N/A

5. **Open and Transparent Water Data:** The Grantee and member agencies will adhere to the protocols developed pursuant to subdivision (a) for data sharing, transparency, documentation, and quality control (Water Code §12408(b)).

☐ Yes, the Grantee and member agencies have systems in place that will adhere to the required protocols.

☐ No, the Grantee and member agencies do not have systems in place to adhere to the required protocols; however, those systems will be in-place within 90-days of an executed Grant Agreement.

☐ No, the Grantee and member agencies do not have systems in place to adhere to the required protocols and do not intend to have them in place. If so, DWR cannot enter into an agreement.

6. **Public Utilities and Mutual Water Companies:** A Project(s) proposed by a public utility regulated by the Public Utilities Commission or a mutual water company shall have a clear and definite public purpose and shall benefit the customers of the water system and not the investors (Water Code §79712(b)(1)).

☐ Yes, the Grantee and/or member agencies are a public utility regulated by the Public Utilities Commission or a mutual water company and the proposed Project will solely benefit the customers.

DWR 9822 (New 9/22) 2 | Page



Eligibility Common Questions

Full list of eligible applicants provided in the previous slide and within the 2021 PSP on Page 10.

A component (or project) does not need to be listed in a GSP or Alternative to be eligible; must be consistent with.

The Grant Administration component and any components related to GSP updates/revisions may not have quantifiable benefits.

Design related to a construction project is eligible.

All member agencies and the applicant submitting components (or projects) must fill out Self-Cert form.



Scoring Criteria

| | | | | |
|-----------------------------|-------|---|---|--|
| General | 1 | <p>Was a description of the proposed Project or Component provided? Did it explain the communities served, measurable objectives, minimum thresholds, plan implementation timeline, and feasibility? If an area above is not applicable, list area and state why it is not applicable. (Example “Measurable objective is not applicable because project is planning only”.) Does the application demonstrate the goals, objectives, and needs of the proposed Project or Component and how the proposed Project or Component meet those?</p> <ul style="list-style-type: none">• <i>No funds will be awarded without clear justification for the proposed Project or Component.</i> | 4 | <ul style="list-style-type: none">• 4 – Fully addressed• 3 – Mostly addressed, with minor details not included or unclear• 2 – Mostly addressed, with significant details missing or unclear• 1 – Marginally addressed• 0 – Not addressed |
| General Implementation Only | 2-Imp | <p>Does the Project or Component provide a description of the expected quantifiable benefits? Was an explanation of how those benefits will be evaluated and quantified provided? Did the justifications and outcomes of the benefits make sense? Are the benefits and quantitative measure of the benefit logical and reasonable given the information provided in the Work Plan? Do the claimed benefits use industry standard units of measurements?</p> <p>If the Project or Component is for planning activities or outreach only for a construction project, provide information on when the project will be constructed and how the funds will be obtained to complete the construction activities. For nontangible benefits, does the time of claimed benefit match the intended outcome of the proposed project?</p> | 4 | <ul style="list-style-type: none">• 4- Two quantifiable benefits with explanations and supporting documents.• 3 – Two quantifiable benefits lacking explanations and supporting documents.• 2 - One quantifiable benefits with explanations and supporting documentation.• 1 - One quantifiable benefit lacking explanations and supporting documents.0 – Benefits provided but are not explained or quantified. |
| General Planning Only | 2-GSP | <p>Does the Project Description describe a well-coordinated proposal? If the planning activities are addressing DWR comments on their GSP, will the proposed activities fully address the DWR comments? Do the proposed activities help to fill data gaps or other areas in the GSP that was known to be lacking? Will the activities assist in the feasibility of implementation of the GSP or Alternative?</p> | 4 | <ul style="list-style-type: none">• 4 – Fully addressed• 3 – Mostly addressed, with minor details not included or unclear• 2 – Mostly addressed, with significant details missing or unclear• 1 – Marginally addressed• 0 – Not addressed |

Scoring Criteria cont. (2)

| | | | | |
|---------|---|--|---|---|
| General | 3 | <p>Was there a regional and Project map(s) depicting the site location, current conditions, and benefitting areas?</p> <ul style="list-style-type: none"> <i>The information should be clear and easy to read. If not, points will not be given.</i> | 2 | <ul style="list-style-type: none"> 2 – Provided and all necessary information provided 1 – Provided but missing some information 0 – Not provided |
| General | 4 | <p>Does the project benefit an Underrepresented Community (-ies)? Was there a map(s) depicting the Underrepresented Community (-ies) that the project will benefit? Does the project benefit a Tribe or an SDAC? Was there a map(s) depicting the Tribe or SDAC(s) that the project will benefit? Please provide the amount of funding that will benefit the Tribe, Underrepresented Community, and/or SDAC.</p> <ul style="list-style-type: none"> <i>No points will be given if a map(s) is not provided.</i> | 4 | <ul style="list-style-type: none"> 4 – Project benefits a Tribe or an SDAC(s) 3- Project benefits an DAC(s) 2- Project benefits Underrepresented Community 1 – Project partially benefits either 0 – Project does not benefit either |



Scoring Criteria cont. (3)

| | | | | |
|---------------|---|---|---|---|
| General | 5 | Will the Project or Component positively impact issues associated with small water systems or private shallow domestic wells (e.g., groundwater contamination vulnerability, drawdown, etc.)? Was justification such as domestic well census results, water system maps, service area maps, etc. provided? Does the Project or Component help address the needs of the State Water Board's SAFER Program? | 4 | <ul style="list-style-type: none"> • 4 – Fully addressed • 3 – Mostly addressed, with minor details not included or unclear • 2 – Mostly addressed, with significant details missing or unclear • 1 – Marginally addressed • 0 – Not addressed |
| General | 6 | How does the proposed Project or Component address the Human Right to Water (AB 685 Section 106.3)? How will the Project or Component support the established policy of the State that every human being has the right to safe, clean, affordable, and accessible water adequate for human consumption, cooking, and sanitary purposes? | 4 | <ul style="list-style-type: none"> • 4 – Fully addressed • 3 – Mostly addressed, with minor details not included or unclear • 2 – Mostly addressed, with significant details missing or unclear • 1 – Marginally addressed • 0 – Not addressed |
| Scope of Work | 7 | <p>Did the proposal provide a description of the tasks/subtasks that will be completed as part of this grant Project?</p> <ul style="list-style-type: none"> • <i>No funds will be awarded without clear justification for the proposed tasks/subtasks.</i> • <i>Please use the examples provided within the templates provided to ensure the scope of work and deliverables are consistent with the level of detail necessary for a DWR grant agreement, if awarded.</i> | 4 | <ul style="list-style-type: none"> • 4 – Fully addressed • 3 – Mostly addressed, with minor details not included or unclear • 2 – Mostly addressed, with significant details missing or unclear • 1 – Marginally addressed • 0 – Not addressed |



Scoring Criteria cont. (4)

| | | | | |
|-----------------------------------|---|--|------|---|
| Budget | 8 | Is a budget summary table provided? Is the budget reasonable for the project? Is the budget table provided coincide with the scope of work and schedule table? | 1 | <ul style="list-style-type: none">• 1 – Consistent and feasible• 0 – Not consistent and feasible |
| Schedule | 9 | Is the schedule table consistent with the budget table and the description in the application? Is the schedule feasible? | 1 | <ul style="list-style-type: none">• 1 – Consistent and feasible• 0 – Not consistent and feasible |
| | | Total Range of Possible Points | 0-28 | |
| | | (a) Average of Questions 1 – 7 for Multiple Component Applications | | |
| | | (b) Total Score for Questions 8 and 9 | | |
| | | Total Points Overall Project: | | |
| TOTAL FUNDING RECOMMENDED: | | | | \$ |



Work Plan Template

Attachment 3:

Points per question and actual questions have changed from the 2021 PSP posted in December 2021. Please use the Work Plan template, located on SGM website, as your guide. This document was built off the revised scoring criteria.

The following supplemental documentation is required and to be included in the beginning sections of the Work Plan as follows:

Grant Proposal Title: _____

Applicant: _____

A. General (maximum of 22 points possible)

Provide a Project Description that addresses the requested information identified below. The description must not exceed 4 pages per Component (not including tables and figures) using a minimum Arial, 10-point type font.

- (4 points) Provide Project or Component Description which must include the following:
 - A complete, detailed description of the overall proposed Project or Component;
 - An explanation of communities served, measurable objectives, minimum thresholds, plan implementation timeline, and feasibility. (If any of these areas are NOT applicable, state which area and why.);
 - A description of the proposed Project or Component's goals, objectives, and needs;
 - A description of how the Project or Component's goals, objectives, and needs will be met by the proposed Project or Component.
- (4 points) **NOTE: FOR IMPLEMENTATION PROJECT/COMPONENTS FOLLOW 2A BELOW; FOR PLANNING PROJECT/COMPONENTS FOLLOW 2B BELOW (4 points maximum will be given for 2A OR 2B).**
2A – Implementation Project/Components Only: Quantifiable benefits expected to be realized from the proposed Project or Component.
 - Include an explanation of the benefits that are expected to be realized from the proposed Project or Component.
 - Describe how the claimed benefits will be evaluated and quantified.
 - Assure industry standard units of measurements are used to in measurement of benefits.
2B – Planning Project/Components Only: Description of planning project/component is well-coordinated.
 - If activities are addressing DWR comments on GSP, explain how the proposed activity fully addresses comments and if not, which comments are not addressed and why.
 - Explain if the proposed activities will help fill data gaps or other areas in the GSP that was known to be lacking.
 - Explain how the activities assist in the feasibility of implementation of the GSP or Alternative.
- (2 points): Provide a regional and Project/Component map(s).
 - Provided map(s) clearly depict the site location, current conditions, and benefitting areas as Attachment 4. **DO NOT INCLUDE IN WORK PLAN!**
- (4 points) Explain if the proposed Project or Component will benefit an URC, Tribe or SDAC.
 - Clearly explanation if the proposed Project or Component will benefit an URC, Tribe or SDAC.
 - Identify the URC(s), Tribe(s), and/or SDAC(s) that the proposed Project or Component will be benefitting.



Work Plan Template – Attachment 3

A. General

Q# 1- 6:

Max 4 pages per component

B. Scope of Work and Deliverables

Q# 7:

Max 2 pages per component

C. Budget

Q# 8:

Max 2 pages total

D. Schedule

Q# 9

Max 2 pages total

Ariel, 10-point type font or larger

Remove all instructions and descriptions to free up space

DO NOT add/remove sections or change section headers

Any not following instructions will be deemed incomplete



Work Plan – Attachment 3

1. (4 points) Provide Project or Component Description which must include the following:

A complete, detailed description of the overall proposed Project or Component.

Explanation of communities served, measurable objectives, minimum thresholds, plan implementation timeline, and feasibility.

Description of the proposed Project or Component's goals, objectives, and needs.

Description of how the Project or Component's goals, objectives, & needs will be met.



Work Plan – Attachment 3 cont. (2)

2A. (4 points Maximum for 2A) : FOR IMPLEMENTATION PROJECT/COMPONENTS ONLY:

Quantifiable benefits expected to be realized from the proposed Project or Component

Include an explanation of the benefits that are expected to be realized from the proposed Project or Component.

Describe how the claimed benefits will be evaluated and quantified.

Assure industry standard units of measurements are used in measurement of benefits.



Work Plan – Attachment 3 cont. (3)

2B. (4 points Maximum for 2B) : FOR PLANNING PROJECT/COMPONENTS

If activities are addressing DWR comments on GSP, explain how the proposed activity fully addresses comments and if not, which comments are not addressed and why.

Explain if the proposed activities will help fill data gaps or other areas in the GSP that was known to be lacking.

Explain how the activities assist in the feasibility of implementation of the GSP or Alternative.



Work Plan – Attachment 3 cont. (4)

3. (2 points): Provide a regional and Project/Component map(s).

Provided map(s) clearly depict the site location, current conditions, and benefitting areas as Attachment 4. **DO NOT INCLUDE IN WORK PLAN!**



Work Plan – Attachment 3 cont. (5)

4. (4 points) Explain if the proposed Project or Component will benefit an URC, Tribe or SDAC.

Clearly explain if the proposed Project or Component will benefit an URC, Tribe or SDAC.

Identify the URC(s), Tribe(s), and/or SDAC(s) that the proposed Project or Component will be benefiting.

Provide map(s) depicting the URC(s), Tribe(s), and/or SDAC(s) that the proposed Project or Component will be benefiting.

Provide the amount of grant funding per Component (if no Components, per the Project) that will benefit the community



Work Plan – Attachment 3 cont. (6)

5. (4 points) Describe if the proposed Project or Component will positively impact issues associated with small water systems or private shallow domestic wells (groundwater contamination vulnerability, drawdown, etc.).

Provide justification such as domestic well census results, water system maps, service area maps, etc.

Describe if the Project or Component will help address the needs of the State Water Board's SAFER Program.



Work Plan – Attachment 3 cont. (7)

6. (4 points) Describe how the proposed Project or Component addresses the Human Right to Water (AB 685 Section 106.3) and supports the established policy of the State that every human being has the right to safe, clean, affordable, and accessible water adequate for human consumption, cooking and sanitary purposes.



Work Plan – Attachment 3 cont. (8)

7. (4 points) Include in the Work Plan a complete description of all tasks that will be completed as part of this grant Project or Component.

Tasks should be organized by the five budget categories, as indicated in the Budget and Schedule.

Identify all necessary and reasonable deliverables. List at least one deliverable per task.

Assure that all tasks and deliverables follow general outline of the example agreement and agreement template.



Work Plan – Attachment 3 cont. (9)

8. (1 point) Provide a completed budget summary table using template provided

For Project with NO Components use the following.

Table 1a: Budget Summary

Grant Title: <enter title>

Grantee: <enter Grantee name>

Component serves a need of a DAC, SDAC, Tribe and/or Underrepresented Community?

(check all that apply): ☐DAC, ☐SDAC, ☐Tribe, and/or ☐Underrepresented Community

| Budget Categories | Grant Amount |
|--|--------------|
| (a) Grant Agreement Administration | \$0 |
| (b) Environmental / Engineering / Design | \$0 |
| (c) Implementation / Construction | \$0 |
| (d) Monitoring / Assessment | \$0 |
| (e) Engagement / Outreach | \$0 |

Assure that the budget is reasonable for the project.

Assure that the budget table provided coincide with the scope of work and the schedule table.



Work Plan – Attachment 3 cont. (10)

9. (1 point) Provide a completed schedule table using template.

Assure that the schedule is feasible for the project.

Assure that the schedule table provided coincide with the scope of work and the budget table.

SCHEDULE TABLE TEMPLATE

<Schedule must align with work plan and budget.>
For Project with NO Components use the following.

Grant Title: <enter title>

| Categories | Start Date ¹ | End Date ¹ |
|--|-------------------------|-----------------------|
| (a) Grant Agreement Administration | MM/DD/YYYY | MM/DD/YYYY |
| (b) Environmental / Engineering / Design | MM/DD/YYYY | MM/DD/YYYY |
| (c) Implementation / Construction | MM/DD/YYYY | MM/DD/YYYY |
| (d) Monitoring / Assessment | MM/DD/YYYY | MM/DD/YYYY |
| (d) Engagement / Outreach | MM/DD/YYYY | MM/DD/YYYY |

NOTES:



Work Plan – Attachment 3 cont. (11)

Table 2 – Ranking of Proposed Components

| Rank | Name | SJV Funds Component Requirement | Readiness | Partnerships with Non-Profits, Non-Governmental Organizations (NROs), and/or Colleges/Universities | Benefactors | Cost |
|--|--|--|--|---|--|--|
| <i>Rank in order of importance with 1 being most important. Do not use rank # more than once each.</i> | <i>Provide a name for each proposed component.</i> | <i>Please check box if the component is eligible for SJV-funds</i> | <i>Please check if the component will be under construction by the end of 2023</i> | <i>Please list all partnering agencies that are collaborating on a component with the estimate amount of funding being provided to the nonprofit(s), NGO(s), and/or college(s)/ university (-ies)</i> | <i>Does this component benefit any of the following communities ? (Check all that apply)</i> | <i>Provide a cost estimate for the total component cost. Round to nearest hundred.</i> |
| 1 | Component Name | <input type="checkbox"/> | <input type="checkbox"/> | | <input type="checkbox"/> Tribe(s) <input type="checkbox"/> URC(s) <input type="checkbox"/> SDAC(s) | \$ |
| 2 | Component Name | <input type="checkbox"/> | <input type="checkbox"/> | | <input type="checkbox"/> Tribe(s) <input type="checkbox"/> URC(s) <input type="checkbox"/> SDAC(s) | \$ |
| 3 | Component Name | <input type="checkbox"/> | <input type="checkbox"/> | | <input type="checkbox"/> Tribe(s) <input type="checkbox"/> URC(s) <input type="checkbox"/> SDAC(s) | \$ |
| 4 | Component Name | <input type="checkbox"/> | <input type="checkbox"/> | | <input type="checkbox"/> Tribe(s) <input type="checkbox"/> URC(s) <input type="checkbox"/> SDAC(s) | \$ |



General Common Questions

Priority: Based upon overall score. A medium/high priority basin if tied in score with COD basin, funds go to medium/high priority

Medium/high priority basin must still score high and be competitive. No guarantee of funding.



Executive Order N-7-22 Provision 13

Help to Expedite Groundwater Recharge Components (or Projects)

- www.water.ca.gov/drought
- CEQA Waiver Process
- Assistance with Water Right Permit (NEW!)



CALIFORNIA DEPARTMENT OF
WATER RESOURCES

SUSTAINABLE GROUNDWATER
MANAGEMENT (SGM)
GRANT PROGRAM



Questions?

Contact: SGWP@water.ca.gov

Email Subscription: https://listserv.ce.cnr.ca.gov/scripts/wa.exe?SUBED1=DWR_SGWP_INFO&A=1

SGM Website: www.water.ca.gov/sgmgrants

URC TA Website: www.water.ca.gov/urctaprogram



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