Proposition 1 IRWM Implementation Grant Solicitation, Round 1

May 2019
Agenda

• Welcome
• Program Overview
• 2019 Guidelines
  – Changes from Draft
• Implementation PSP
  – Changes from Draft
• Application Process, Tools, and Resources
• Schedule and Next Steps
• Q & A
Integrated Regional Water Management

PROGRAM OVERVIEW
Funding Area Allocations

- Prop 1, Chapter 7, allocated $510 million to 12 IRWM Funding Areas
IRWM Funding Areas & Regions

- Funding must be accessed by working with an established IRWM Region
Chapter 7, IRWM

The purpose of this chapter is to:

– Help water infrastructure systems **adapt to climate change**
– Provide incentives for **collaboration** on managing water resources and setting water infrastructure priorities
– Improve **regional water self-reliance**
IRWM Allocations and Awards

• Planning ($4.2M awarded)
• Disadvantaged Community Involvement ($51 M+)
• Implementation (~$403M, 2 Rounds)
  – Disadvantaged Community Projects ($51M minimum)
  – General Implementation Projects (~$352M)
• State Costs ($51M)
  – 2% State Bond Costs
  – 5% DWR Grant Administration
  – 3% DWR Planning and Monitoring
Integrated Regional Water Management

2019 GUIDELINES
2019 Guidelines

• No changes to 2016 IRWM Plan Standards
• Applies to future solicitations
## Guidelines: Changes Draft to Final

<table>
<thead>
<tr>
<th>Section</th>
<th>Topic</th>
<th>Final</th>
</tr>
</thead>
<tbody>
<tr>
<td>I.D</td>
<td>Statewide Priorities</td>
<td>Priority 2. Encourage State focus on projects with multiple benefits such as flood protection, groundwater recharge, water quality, conjunctive use, etc.</td>
</tr>
<tr>
<td>III.G</td>
<td>Tribal Status</td>
<td>If a Tribe is awarded grant funding, DWR will consult with the Tribe on a government to government basis prior to the execution of a grant agreement.</td>
</tr>
</tbody>
</table>
# Guidelines: Changes Draft to Final

<table>
<thead>
<tr>
<th>Section</th>
<th>Topic</th>
<th>Final</th>
</tr>
</thead>
<tbody>
<tr>
<td>Appendix B</td>
<td>Local Cost Share</td>
<td>Costs associated with development of Proposition 1, IRWM Implementation grant applications and participation in pre-application workshops eligible for local cost share</td>
</tr>
<tr>
<td>Appendix B</td>
<td>Reimbursable Costs</td>
<td>Clarified “Indirect Costs” language</td>
</tr>
<tr>
<td>Appendix E</td>
<td>Disadvantaged Communities</td>
<td>Clarified previous ACS 5-year survey data may be used with sufficient justification</td>
</tr>
</tbody>
</table>
Integrated Regional Water Management

IMPLEMENTATION PSP
Proposed Round 1 Implementation Funding

- ~$222.3 M funding available
  - General Projects ~$198.7 M
  - DAC Projects ~$23.6 M
- Distributed by Funding Area
- Based on Funding Area need
## Funding Available – Round 1

<table>
<thead>
<tr>
<th>Funding Area</th>
<th>Proposition 1 Allocation</th>
<th>DAC Implementation Projects (10% Min)</th>
<th>General Implementation Projects</th>
<th>DAC Implementation Projects % of Col. F</th>
<th>General Implementation Projects % of Col. G</th>
<th>Total to Award: Round 1</th>
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</thead>
<tbody>
<tr>
<td>North Coast</td>
<td>$26,500,000</td>
<td>$2,650,000</td>
<td>$18,550,000</td>
<td>60%</td>
<td>60%</td>
<td>$11,130,000</td>
</tr>
<tr>
<td>San Francisco Bay</td>
<td>$65,000,000</td>
<td>$6,500,000</td>
<td>$45,500,000</td>
<td>35%</td>
<td>50%</td>
<td>$22,750,000</td>
</tr>
<tr>
<td>Central Coast</td>
<td>$43,000,000</td>
<td>$4,300,000</td>
<td>$29,818,882</td>
<td>50%</td>
<td>50%</td>
<td>$14,909,441</td>
</tr>
<tr>
<td>Los Angeles</td>
<td>$98,000,000</td>
<td>$9,800,000</td>
<td>$68,600,000</td>
<td>35%</td>
<td>50%</td>
<td>$34,300,000</td>
</tr>
<tr>
<td>Santa Ana</td>
<td>$63,000,000</td>
<td>$6,300,000</td>
<td>$43,850,000</td>
<td>35%</td>
<td>50%</td>
<td>$21,925,000</td>
</tr>
<tr>
<td>San Diego</td>
<td>$52,500,000</td>
<td>$4,948,650</td>
<td>$36,198,650</td>
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<td>50%</td>
<td>$18,250,000</td>
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<tr>
<td>Sacramento River</td>
<td>$37,000,000</td>
<td>$3,700,000</td>
<td>$25,585,778</td>
<td>100%</td>
<td>100%</td>
<td>$25,585,778</td>
</tr>
<tr>
<td>San Joaquin River</td>
<td>$31,000,000</td>
<td>$3,100,000</td>
<td>$21,484,875</td>
<td>90%</td>
<td>71%</td>
<td>$15,267,211</td>
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<tr>
<td>Tulare/Kern</td>
<td>$34,000,000</td>
<td>$3,400,000</td>
<td>$23,048,112</td>
<td>35%</td>
<td>50%</td>
<td>$11,524,056</td>
</tr>
<tr>
<td>North / South Lahontan</td>
<td>$24,500,000</td>
<td>$2,450,000</td>
<td>$16,308,970</td>
<td>52%</td>
<td>71%</td>
<td>$11,524,863</td>
</tr>
<tr>
<td>Colorado River</td>
<td>$22,500,000</td>
<td>$2,250,000</td>
<td>$14,151,530</td>
<td>35%</td>
<td>50%</td>
<td>$7,075,765</td>
</tr>
<tr>
<td>Mountain Counties</td>
<td>$13,000,000</td>
<td>$1,300,000</td>
<td>$9,016,094</td>
<td>35%</td>
<td>50%</td>
<td>$4,508,047</td>
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<tr>
<td><strong>Total</strong></td>
<td><strong>$510,000,000</strong></td>
<td><strong>$51,000,000</strong></td>
<td><strong>$352,112,891</strong></td>
<td><strong>46%</strong></td>
<td><strong>56%</strong></td>
<td><strong>$222,345,229</strong></td>
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### PSP: Changes Draft to Final

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<tr>
<th>Section</th>
<th>Topic</th>
<th>Draft</th>
<th>Final</th>
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<tbody>
<tr>
<td>II.C, Exhibit A</td>
<td>Additional Project Eligibility</td>
<td>CEQA Compliance/Permitting must be complete within 6 months of Final Award, prior to agreement execution</td>
<td>CEQA Compliance/Permitting must be complete within 12 months of Final Award</td>
</tr>
<tr>
<td>II.C, Exhibit A</td>
<td>Additional Project Eligibility</td>
<td>Project must provide benefits entirely to DACs/EDAs/Tribes to be exempt from requirement above</td>
<td>Project must provide 75% of benefits DACs/EDAs/Tribes to be exempt from requirement above</td>
</tr>
<tr>
<td>III</td>
<td>DAC</td>
<td>DAC Implementation Projects must provide benefits entirely to DACs</td>
<td>DAC Implementation Projects must provide at least 75% of benefits directly to DACs</td>
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</table>
# PSP: Changes Draft to Final

<table>
<thead>
<tr>
<th>Section</th>
<th>Topic</th>
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<th>Final</th>
</tr>
</thead>
<tbody>
<tr>
<td>III.A</td>
<td>Local Cost Share</td>
<td>Applicants must demonstrate that a minimum of 50 percent of the total proposal costs will be paid for with non-State funds</td>
<td>Applicants must demonstrate that on average a minimum of 50% of the total project costs in each proposal will be paid for with non-State funds excluding projects receiving a cost share waiver or reduction</td>
</tr>
<tr>
<td>III. C</td>
<td>Reimbursable Costs</td>
<td>NA</td>
<td>Travel is only an eligible reimbursable expense for projects benefitting DACs, EDAs, and/or Tribes. Only ground transportation and lodging are reimbursable costs.</td>
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</tbody>
</table>
## PSP: Changes Draft to Final

<table>
<thead>
<tr>
<th>Section</th>
<th>Topic</th>
<th>Draft</th>
<th>Final</th>
</tr>
</thead>
<tbody>
<tr>
<td>III. C</td>
<td>Reimbursable Costs</td>
<td>Costs associated with CEQA activities are not eligible for grant reimbursement</td>
<td>Cost associated with CEQA activities ARE eligible for grant reimbursement</td>
</tr>
<tr>
<td>V.A.1</td>
<td>Pre-Application Process</td>
<td>Pre-Application Workshops required for all Funding Areas/Regions</td>
<td>Pre-Application Material Submittal is required for all Funding Areas/Regions. In person Pre-Application Workshops are optional but encouraged.</td>
</tr>
</tbody>
</table>
### PSP: Changes Draft to Final

<table>
<thead>
<tr>
<th>Section</th>
<th>Topic</th>
<th>Draft</th>
<th>Final</th>
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</thead>
<tbody>
<tr>
<td>V.A.1.</td>
<td>Final Application Submittal</td>
<td>Final Applications due 12 weeks after Pre-Application Workshop</td>
<td>Final Applications due 8 weeks after receiving DWR feedback</td>
</tr>
<tr>
<td>V.A.3</td>
<td>Attachment Instructions, Attachment 10</td>
<td>NA</td>
<td>Climate change survey is required to be completed but responses do not affect scoring or eligibility.</td>
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</table>
### PSP: Changes Draft to Final

<table>
<thead>
<tr>
<th>Section</th>
<th>Topic</th>
<th>Draft</th>
<th>Final</th>
</tr>
</thead>
<tbody>
<tr>
<td>Table 4</td>
<td>Scoring Criteria</td>
<td>Up to 1 point for proposals that include projects that meet Human Right to Water</td>
<td>Up to 2 points for proposals that include projects that meet Human Right to Water.</td>
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<tr>
<td>Table 4</td>
<td>Scoring Criteria</td>
<td>NA</td>
<td>One point for projects that provide at least 75% of benefits to DACs/EDAs/Tribes</td>
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</tbody>
</table>
Integrated Regional Water Management

APPLICATION PROCESS, TOOLS AND RESOURCES
Solicitation Process and Schedule

1. Select Pre-Application Material Date
   ASAP

2. Select Workshop Date/Location (Optional)
   ASAP

3. Submit Pre-Application Materials
   ~ May – August 2019

4. Pre-Application Workshop (Optional)
   ~ 2-4 weeks after Pre-App. Materials

5. Feedback on Proposed Projects
   Up to 6 weeks after Pre-App. Materials

6. Submit Final Application
   8 weeks after DWR feedback

7. Eligibility and Completeness Review

8. Technical Review

9. Draft Awards

10. Final Awards

11. Agreement Execution
Website

- https://water.ca.gov/Work-With-Us/Grants-And-Loans/IRWM-Grant-Programs/Proposition-1/Implementation-Grants
Implementation Grant Program

The IRWM Implementation Grant Program provides funding for implementation projects that meet the intent of Proposition 1, Chapter 7. DWR plans to award grants on a competitive basis in at least two funding rounds.

To apply for grants from this solicitation, project proponents must coordinate through their established IRWM Regional Water Management Group, and the Regional Group must in turn coordinate with other groups within the Proposition 1 IRWM Funding Area.

On April 22, 2019, DWR released the Final Proposition 1 - Round 1 IRWM Implementation Grant Proposal Solicitation Package and Final 2019 Guidelines. Approximately $22 million in grant funding is being made available for implementation projects with approximately $28.6 million being made available for projects that provide benefits to Disadvantaged Communities. The documents below will provide potential grant applicants with an overview of the program and detailed requirements for this solicitation.

- Final 2019 IRWM Grant Program Guidelines - (PDF) [590 KB]
- Final Round 1 - Implementation Grant PSP - (PDF) [609 KB]
- Proposal Summary Form (Excel) - (PDF) [72 KB]
- Project Information Form (Excel) - (PDF) [80 KB]
• Final 2019 IRWM Grant Program Guidelines - (PDF) [590 KB]
• Final Round 1 - Implementation Grant PSP - (PDF) [609 KB]
• Proposal Summary Form (Excel) - (PDF) [72 KB]
• Project Information Form (Excel) - (PDF) [80 KB]

In addition, supplemental information pertinent and necessary to complete a grant application for this solicitation can be found in the drop-down menus below.

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### Resource Documents

- IRWM Region Contact List - (PDF) [176 KB]
- Agreement Template (Coming Soon)
- Frequently Asked Questions - (PDF) [362 KB]
- Groundwater Management Plan Self-Certification Form - (PDF) [23 KB]
- Water Meter Self-Certification Form - (PDF) [78 KB]
- IRWM Plan Adoption Form - (PDF) [523 KB]

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### Archive Documents

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### Program Schedule and Key Dates
The 2018 Proposition 1 - Round 1 IRWM Implementation Grant Draft Proposal Solicitation Package and Draft 2018 Guidelines were made available for public review and comment between October 5 and December 14, 2018.

**Resource Documents**

**Archive Documents**

- IRWM Implementation Grant Program 2018 Draft PSP
- IRWM Grant Program Draft 2018 Guidelines
- Public Comments Received
- Public Meeting Materials -
  - General Meeting Handouts and Presentations
  - Tribal Meeting Handouts and Presentations

**Program Schedule and Key Dates**
## Program Schedule and Key Dates

<table>
<thead>
<tr>
<th>Tentative Schedule</th>
<th>Milestone or Activity</th>
</tr>
</thead>
<tbody>
<tr>
<td>April 2019</td>
<td>DWR releases 2019 IRWM Grant Program Guidelines and Final Round 1 - Implementation Grant PSP</td>
</tr>
</tbody>
</table>

| May 8, 2019  
Time: 1:30 - 3 PM | Applicant Assistance Webinar  
Available via SKYPE:  
Join Skype Meeting  
Join by phone: +1 (916) 573-2034  
Conference ID: 49888191  
Webinar Location (if attending in person, limited space available):  
Bonderson Building (2nd floor), Large Conference Room  
901 P Street Room 213 - A  
Sacramento, Ca. 95814 |

| May 14, 2019 | Applicant Assistance Webinar  
Available via SKYPE:  
Join Skype Meeting  
Join by phone: +1 (916) 573-2034 |
Implementation Grant Program

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To apply for grants from this solicitation, project proponents must coordinate through their established IRWM Regional Water Management Group, and the Regional Group must in turn coordinate with other groups within the Proposition 1 IRWM Funding Area.

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- Final 2019 IRWM Grant Program Guidelines - (PDF) [590 KB]
- Final Round 1 - Implementation Grant RSP - (PDF) [609 KB]
- Proposal Summary Form (Excel) - (PDF) [72 KB]
- Project Information Form (Excel) - (PDF) [80 KB]
Solicitation Process and Schedule

1. Select Pre-Application Material Date
   ASAP

2. Select Workshop Date/Location (Optional)
   ASAP

3. Submit Pre-Application Materials
   ~ May – August 2019

4. Pre-Application Workshop (Optional)
   ~ 2-4 weeks after Pre-App. Materials

5. Feedback on Proposed Projects
   Up to 6 weeks after Pre-App. Materials

6. Submit Final Application
   8 weeks after DWR feedback

7. Eligibility and Completeness Review

8. Technical Review

9. Draft Awards

10. Final Awards

11. Agreement Execution
## Pre-Application Process

<table>
<thead>
<tr>
<th>Applicant Process</th>
<th>DWR Process</th>
</tr>
</thead>
<tbody>
<tr>
<td>Optional Workshop (Wk. 3-4)</td>
<td>DWR reviews Pre-Application Materials and provides feedback to applicant (up to 6 weeks)</td>
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</tbody>
</table>

<table>
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<tr>
<th>Week 1</th>
<th>Week 2</th>
<th>Week 3</th>
<th>Week 4</th>
<th>Week 5</th>
<th>Week 6</th>
<th>Week 7</th>
<th>Week 8</th>
<th>Week 9</th>
<th>Week 10</th>
<th>Week 11</th>
<th>Week 12</th>
<th>Week 13</th>
<th>Week 14</th>
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<tr>
<td>Pre-Application Material</td>
<td>Applications Due</td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Submittal Deadline</td>
<td>Final Grant Application due 8 weeks after receiving DWR Feedback</td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>DWR Feedback due</td>
<td>Applicant considers DWR Feedback; submits Final Grant Application through GRanTs</td>
<td></td>
<td></td>
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</tr>
</tbody>
</table>

- DWR reviews Pre-Application Materials and provides feedback to applicant (up to 6 weeks).
- Optional Workshop (Wk. 3-4).
- Applicat considers DWR Feedback; submits Final Grant Application through GRanTs.
- Final Grant Application due 8 weeks after receiving DWR Feedback.
### Table 3: Grant Application Checklist

**APPLICANT INFORMATION TAB**  
The following information is general and applies to the applicant and the overall proposal. Specific project information should be detailed in the project tab provided in the GRanTS application.

**APPLICANT INFORMATION**

- **Organization Name:** Provide the name of the Agency/Organization responsible for submitting the application. Should the application be successful, this Agency/Organization will be the Grantee.

- **Point of Contact:**
  - Select “Existing Register Users” to select the registered user associated with the organization specified above. The rest of the contact information (Division, Address, e-mail, etc.) are auto populated once the above registered user is selected.
  - Select “Add New User” to add an unregistered user. Please select Division (address will be auto populated) and type the First Name, Last Name, E-mail, and Phone (Direct) of the new user. Please note that the e-mail address will be the new user’s login name.

- **Position Title:** Position Title (Does not need to be the same as the Funding Area POC.)

- **Application Name:** Provide the title of the proposal; please include the IRWM region(s) name as part of the proposal name. Include Funding Area name if one proposal in Funding Area. (Maximum Character Limit: 150)

- **Proposal Objective:** Leave Blank
Final Grant Application Attachments

- Attachment 1: Authorization and Eligibility Requirements
- Attachment 2: Proposal Summary
- Attachment 3: Project Information Forms
- Attachment 4: Workplan (Detailed)
- Attachment 5: Budget (Detailed)
- Attachment 6: Schedule (Detailed)
- Attachment 7: DACs (Only required if claiming benefit)
- Attachment 8: EDAs (Only required if claiming benefit)
- Attachment 9: Tribes (Only required if claiming benefit)
- Attachment 10: Climate Change
Solicitation Process and Schedule

1. Select Pre-Application Material Date
   ASAP

2. Select Workshop Date/Location (Optional)
   ASAP

3. Submit Pre-Application Materials
   ~ May – August 2019

4. Pre-Application Workshop (Optional)
   ~ 2-4 weeks after Pre-App. Materials

5. Feedback on Proposed Projects
   Up to 6 weeks after Pre-App. Materials

6. Submit Final Application
   8 weeks after DWR feedback

7. Eligibility and Completeness Review

8. Technical Review

9. Draft Awards

10. Final Awards

11. Agreement Execution
GRanTS Online Submittal

https://water.ca.gov/grants
Grants Review and Tracking System

GRanTS (Grants Review and Tracking System) is DWR’s web-based grant application and management tool. It can be used to:

- Apply for grants and loans.
- Manage contracts and track projects.
- Submit electronic contract deliverables and invoices.

Announcements

- Open Solicitations:
  - CalConserv Water Use Efficiency Revolving Fund Loan Program
  - Proposition 1 Implementation Grant Program
- The Grants Review and Tracking System (GRanTS) is conducting an online survey this month. Staff is looking for feedback on the current system, and suggestions for potential improvements. GRanTS is used to apply for funding, manage projects, and submit electronic documents. In addition to the survey, you can email ideas to the GRanTS helpline.
Video Tutorials

How to create a grant application and how to register in GRanTS videos can be found below.

Documents

- Frequently Asked Questions (FAQ)
  This document provides a brief step-by-step process for doing the most commonly executed tasks in GRanTS. Please refer to the GRanTS Public User Guide for more detail.

- Quick Reference Guide
  This guide provides quick tips and hints for using GRanTS.

- Public User Guide
  This guide provides screen-by-screen instructions for using GRanTS.

Resources

- Project Mapping Tool
  Use this map to fill in the Geographic Information (Latitude / Longitude) for your project.
LOG ONTO GRanTS

Username/Email Address: 
Password: 
Log In

Forgot Password
Registration

For information regarding GRanTS please call (888) 907-4267 or email GRanTSadmin@water.ca.gov.
### Applicant Information

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
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<tbody>
<tr>
<td>Organization Name</td>
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</tr>
<tr>
<td>Point Of Contact</td>
<td>Existing Registered Users, Add New User</td>
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<tr>
<td>Point Of Contact Position Title</td>
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<tr>
<td>Proposal Name</td>
<td>Maximum Character Limit: 150</td>
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<tr>
<td>Proposal Objective</td>
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### Budget

<table>
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<tbody>
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<td>Other Contribution</td>
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<tr>
<td>Local Contribution</td>
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</tr>
<tr>
<td>Federal Contribution</td>
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<tr>
<td>Inkind Contribution</td>
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### Geographic Information

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<tr>
<td>Longitude</td>
<td></td>
</tr>
<tr>
<td>Longitude/Latitude Classification</td>
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<tr>
<td>County</td>
<td>Alameda, Alpha, Amador, Butte</td>
</tr>
<tr>
<td>Ground Water Basin</td>
<td>Acton Valley, Adobe Lake Valley, Alexander Valley-Alexander, Alexander Valley-Cloverdale</td>
</tr>
<tr>
<td>Hydrologic Region</td>
<td>Central Coast, Colorado River, North Coast, North Lahontan</td>
</tr>
</tbody>
</table>
Useful Links

• All DWR Grants and Loans
  https://water.ca.gov/Work-With-Us/Grants-And-Loans

• Plan Review Process
  https://water.ca.gov/Work-With-Us/Grants-And-Loans/IRWM-Grant-Programs/Plan-Review-Process

• DWR Tribal Policy Webpage
  https://water.ca.gov/About/Tribal-Policy

• Mapping Tool
  https://water.ca.gov/Work-With-Us/Grants-And-Loans/Mapping-Tools
Mapping Tools

DWR has developed 3 web-based mapping applications to assist local agencies and other interested parties in water management planning efforts:

- Disadvantaged Communities (DACs)
- Economically Distressed Areas (EDAs)
- Water Management Planning Tool

We developed the DAC Mapping Tool to assist local agencies and other interested parties in evaluating DAC status throughout the State, using the definition provided by Proposition 1 IRWM Guidelines (2016).
Integrated Regional Water Management

SCHEDULE AND NEXT STEPS
Next Steps

• Funding Areas select Pre-Application Submittal date and Workshop Date (if applicable)
• DWR will post Funding Area deadlines on our website as they are established.
Integrated Regional Water Management

QUESTIONS AND ANSWERS
Contact

• DWR_IRWM@water.ca.gov or 916-651-7193

Thank you!