RETURN THIS BID FORM IN ITS ENTIRETY

2. 9

ASSIGNED PROPOSAL NO. <u>15406</u> Proposal assigned to namestyle typed below. Bid in <u>correct</u> namestyle only.

-READ ALL PROVISIONS PRIOR TO SIGNING AND INCLUDE ALL ADDENDA DWR 82 (New 5/97)

BID FORM

FOR

SPILLWAYS OROVILLE EMERGENCY RECOVERY

BUTTE COUNTY, CALIFORNIA



STATE OF CALIFORNIA CALIFORNIA NATURAL RESOURCES AGENCY DEPARTMENT OF WATER RESOURCES

SPECIFICATION NO. 17-09

CONTRACT NO. C51544

2017



FOR

SPILLWAYS OROVILLE EMERGENCY RECOVERY

BUTTE COUNTY, CALIFORNIA



STATE OF CALIFORNIA CALIFORNIA NATURAL RESOURCES AGENCY DEPARTMENT OF WATER RESOURCES

SPECIFICATION NO. 17-09

CONTRACT NO. C51544

2017

BF-1

K-17

READ ALL CONTRACT DOCUMENTS PRIOR TO SIGNING

REMINDERS

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- Bids will be opened at the location, time and date specified in Document 00002 Notice to Contractors. Any change to location, time and date will be issued by addenda.
- Bids will be considered only if submitted for all of the work required by the Contract.
- Sign and include all addenda.

Note: Refer to the contract documents for instructions on the following documents:

Document Number	Document Title
00410	Bid Schedule
00425	Subcontractor List
00427	Bidder's Agreement
00428	Bidder's Signature Page
00430	Bidder's Bond
00441	Small Business Preference and Certification Request
00442	Department of General Services, Procurement Division, Small Business Certificate – provided by Contractor
00443	California Company Preference Form
00444A	Request for Non-Small Business Bid Preference for Using California Certified Small Business Subcontractors/Suppliers
00444B	California Certified Small Business Subcontractors/Suppliers
00444C	Small Business (SB): Certification of Commercially Useful Function
00445	DVBE Participation
00447	DVBE: Broker or Agent
00448 (2 pages)	DVBE: Declarations – Equipment Rental
00449	DVBE: Declarations of Meeting and Maintaining Requirement of a DVBE – Equipment Rental
00450 (2 pages)	DVBE: Certification of Commercially Useful Function

BID FORM CONTENTS

BID SCHEDULE

CONTRACT TITLE: Spillways, Oroville Emergency Recovery

DWR SPECIFICATION NO .: 17-09

DWR CONTRACT NO .: C51544

BIDDER'S NAME:

(Name style as recorded on CA Contractor's License)

Oroville Dam Constructors

ltem <u>No.</u>	Section*	<u>ltem</u>	Estimated <u>Quantity</u>	<u>Unit</u>	Unit Price <u>(In Figures)</u>	Total <u>(In Figures)</u>
1	****	****	****	****		
2	****	****	****	****	****	
3	01535	Temporary Crossing	1	JOB	LUMP SUM	3,500,000.00
4	01550	Work Site Security	18	МО	12.5,000.00	2,250,000.00
5	01560	Temporary Traffic Control	18	МО	650,000.00	11,700,000.00
6	01580	Project Information Sign	2	EA	7,000.00	14,000.00
7	****	****	****	****		
8	01580	Access Restriction Sign	4	EA	500.00	2,000.00
9	01580	Barricade	8	EA	300.00	2,400.00
9 a	01580	Temporary Railing Type-K	530	LF	105.00	55,650.00
10	02205	Mobilization and Demobilization	1	JOB	LUMP SUM	33,000,000.00
11	02217	Foundation Preparation	123,000	SY	81.00	9,963,000.00
12	02217	Foundation Preparation – Dental Excavation	26,000	CY	130.00	3,380,000.00
13	02217	Foundation Preparation – Dental Concrete	13,000	CY	300.00	3,900,000.00
14	02220	Selective Demolition (Upper FCO Chute)	23,000	CY	110.00	2,530,000.00

*Section where contract item is defined

00410-1

(Addn. No. 3, Pg. 7) K-17

(Addn. No. 6, Pg. 2) K-17

Contains Critical Energy Infrastructure Information Do Not Release

						Bid Schedule
Item <u>No.</u>	Section*	ltem	Estimated <u>Quantity</u>	<u>Unit</u>	Unit Price <u>(In Figures)</u>	Total <u>(In Figures)</u>
15	02220	Selective Demolition (Lower FCO Chute)	7,500	CY	00.00	750,000.00
15a	02220	Selective Demolition (Emergency Spillway)	263,000	СҮ	25.00	6,575,000.00
16	02231	Clearing and Grubbing	35	ACRE	15,000.00	525,000.00
17	02231	Select Tree Removal	150	EA	1,000.00	150,000.00
18	02240	Dewatering	1	JOB	LUMP SUM	5,000,000.00
19	02300	Excavation	12,000	CY	55.00	660,000.00
20	02300	Rock Excavation	85,000	CY	65.00	5,525,000.00
21	02300	Select Fill	20,000	CY	8,00	160,000.00
22	02300	Pervious Backfill	80,000	CY	100.00	8,000,000.00
23	****	****	****	****		
24	02371	Rock Slope Protection	4,000	TON	150.00	600,000.00
25	02371	Geotextile Fabric	500	SY	15.00	7,500.00
26	02400	Hydrauger – Type 1	10	EA	830.00	8,300.00
27	02400	Hydrauger – Type 2	10	EA	1,200.00	12,000.00
28	02400	Hydrauger – Type 3	5	EA	1,900.00	9,500.00
29	02490	Rock Anchor –Type 1 (Class 2)	10	EA	4,700.00	47,000.00
30	02490	Rock Anchor –Type 2 (Class 2)	10	EA	6,300.00	63,000.00
31	02490	Rock Anchor –Type 3 (Class 2)	5	EA	10,750.00	53,750.00
32	02490	Rock Anchor –Type 4 (Class 1)	5	EA	10,500.00	52,500.00
32a	02521	Relief Wells (Monolith)	2,250	LF	375.00	843,750.00
32b	02521	Relief Wells (Secant Wall)	1,800	LF	350.00	630,000.00
32c	02521	Relief Wells (Collection Pipes)	9,000	LF	175.00	1,575,000.00
32d	02521	Relief Drain (Monolith)	1,500	LF	105.00	157,500.00
33	02621	Underdrain Type 1 (FCO Chute Perforated Drain)	26,800	LF	55.00	1,474,000.00
34	02621	Underdrain Type 2 (FCO Chute Wall Solid Drain)	17,500	LF	140.00	2,450,000.00

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*Section where contract item is identified

02621

Underdrain Type 3 (Wall Backfill Perforated Drain)

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00410-2 (Addn. No. 3, Pg. 8) K-17

LF

(Addn. No. 4, Pg. 8) K-17

50.00

550,000.00

(Addn. No. 5, Pg. 3) K-17



ltem <u>No.</u>	Section*	<u>ltem</u>	Estimated <u>Quantity</u>	<u>Unit</u>	Unit Price <u>(In Figures)</u>	Total <u>(In Figures)</u>
36	02640	Corrugated Metal Pipe (CMP) 24"	300	LF	250.00	75,000.00
37	02640	Corrugated Metal Pipe (CMP) 36"	300	LF	350.00	105,000.00
38	02720	Aggregate Base	26,000	TON	60.00	1,560,000.00
39	02820	Chain Link Fence	7,000	LF	80.00	560,000.00
40	02820	Walk Gate	1	EA	2,300.00	2,300.00
41	02820	20-Foot Double Drive Gate	1	EA	35,000.00	35,000.00
42	02821	Construction Access Gate	4	EA	13,000.00	52,000.00
43	02925	Seeding	35	ACRE	6,500.00	227,500.00
44	02925	Fiber Rolls	45,000	LF	5.00	2.25,000.00
45	02925	Silt Fence	54,000	LF	6.00	324,000.00
46	02925	Straw Bale Barrier	15,000	LF	12.00	180,000.00
47	03210	Reinforcing Steel	5,285,000	LB	2.00	10,570,000.00
48	03211	Reinforcing Steel (Epoxy Coated)	2,492,000	LB	2.25	5,607,000.00
49	03212	Reinforcing Steel (Galvanized)	217,000	LB	1.25	271,250.00
50	03212	Reinforcing Steel (Stainless Steel)	525,000	LB	5.00	2,625,000.00
51	03300	Structural Concrete	16,400	CY	1,500.00	24,600,000.00
52	03300	Erosion Resistant Concrete	62,000	CY	656.00	40,672,000.00
53	03300	Test Panels	50	CY	1,500.00	75,000.00
54	03300	Mass Concrete	14,000	CY	325.00	4,550,000.00
55	03300	Leveling Concrete	53,100	CY	377.00	20,018,700.00
56	03300	Slush Grout	80,000	GAL	12.00	960,000.00
57	03300	Bedding Mortar	2,000	CY	1,500.00	3,000,000.00

*Section where contract item is defined

00410-3 (Addn. No. 3, Pg. 9) K-17

(Addn. No. 6, Pg. 3) K-17

Contains Critical Energy Infrastructure Information Do Not Release

Bid Schedule

ltem <u>No.</u>	Section*	ltem	Estimated <u>Quantity</u>	<u>Unit</u>	Unit Price <u>(In Figures)</u>	Total <u>(In Figures)</u>
58	03304	Emergency Spillway Crest Cut-Off Wall	1	JOB	LUMP SUM	1,200,000.00
59	03305	Emergency Spillway Secant Pile Cut-Off Wall	60,550	SF	286.00	17,317,300.00
60	03370	Shotcrete	3,000	SY	300.00	900,000.00
61	****	***	***	****		······
62	03601	Drilling and Grouting Chute Dowel Anchors	37,400	LF	73.00	2,730,200.00
63	03800	Roller-Compacted Concrete (FCO Chute)	242,000	CY	225.00	54,450,000.00
63a	03800	Roller-Compacted Concrete (Emergency Spillway)	210,100	CY	210.00	44,121,000.00
63b	09970	Concrete Coatings	11,600	SY	50.00	580,000.00
64	13700	Fixed Time-Lapse Camera	8	EA	45,000.00	360,000.00
			<u>. </u>		TOTAL	344,129,100.00

*Section where contract item is defined

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SUBCONTRACTOR LIST

SPECIFICATION NO.: 17-09



CONTRACTOR: _ Oroville Dam Constructors

Name and Location of Subcontractor	CSLB License No.	DIR Registration No.	Items or Portions of Work to be Performed
FERMA Corporation 1265 Montecito Ave #200 Mountain View, CA 94043	236337	1000003028	Demo Upper Chute-Partial Demo Lower Chute-Partial Emergency Spillway Crest Cutoff Wall-Partial

Requirements:

In accordance with Public Contract Code section 4100 et seq., the bidder shall list, in the space provided on this form, the name, location of the place of business, California contractor license number, and DIR registration number of each subcontractor who will perform any portion of the work in an amount in excess of one-half of one percent of the total amount of the bid, and the portion of the work which each is to perform.

In accordance with Public Contract Code section 7125.5, all subcontractors identified must be DIR registered contractors at time of bid opening or become registered within 24 hours of bid opening pursuant to Labor Code section 1771.1.c(1) or (2). Contractors awarded the contract with a subcontractor not DIR registered must comply with section 1771.1(c)(3) without adjustment for contract time or contract price.

Additionally, in accordance with Public Contract Code section 4100 et seq. and section 10115.12, the bidder shall list, in the space provided on this form, the name, location of the place of business, and California contractor license number of each DVBE subcontractor who will be used by the bidder to fulfill DVBE participation and who will perform any portion of the work in an amount in excess of one-half of one percent of the total amount of the bid, and the portion of the work which each DVBE is to perform.

00425-1

SUBCONTRACTOR LIST

SPECIFICATION NO.: 17-09

CONTRACTOR: Oroville Dam Constructors

Name and Location of Subcontractor	CSLB License No.	DIR Registration No.	Items or Portions of Work to be Performed
Jensen Drilling Company 1775 Henderson Avenue Eugene, OR 97403	340115	1000003450	Relief Wells (Monolith) Relief Wells (Secant Wall)
Jensen Drilling Company 1775 Henderson Avenue Eugene, OR 97403	340115	1000003450	Relief Wells (Collection Pipes) Drilling & Grouting Chute Dowel Anchors

Requirements:

In accordance with Public Contract Code section 4100 et seq., the bidder shall list, in the space provided on this form, the name, location of the place of business, California contractor license number, and DIR registration number of each subcontractor who will perform any portion of the work in an amount in excess of one-half of one percent of the total amount of the bid, and the portion of the work which each is to perform.

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00425-1

SUBCONTRACTOR LIST

SPECIFICATION NO.: 17-09



CONTRACTOR: Oroville Dam Constructors

Name and Location of Subcontractor	CSLB License No.	DIR Registration No.	Items or Portions of Work to be Performed
Drill Tech Drilling & Shoring, Inc. 2200 Wymore Way Antioch, CA 94509	745354	1000004866	Emergency Spillway Secant Pile Cutoff Wall-Partial

Requirements:

In accordance with Public Contract Code section 4100 et seq., the bidder shall list, in the space provided on this form, the name, location of the place of business, California contractor license number, and DIR registration number of each subcontractor who will perform any portion of the work in an amount in excess of one-half of one percent of the total amount of the bid, and the portion of the work which each is to perform.

In accordance with Public Contract Code section 7125.5, all subcontractors identified must be DIR registered contractors at time of bid opening or become registered within 24 hours of bid opening pursuant to Labor Code section 1771.1.c(1) or (2). Contractors awarded the contract with a subcontractor not DIR registered must comply with section 1771.1(c)(3) without adjustment for contract time or contract price.

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SUBCONTRACTOR LIST

SPECIFICATION NO.: 17-09



CONTRACTOR: Oroville Dam Constructors

Name and Location of Subcontractor	CSLB License No.	DIR Registration No.	Items or Portions of Work to be Performed
Neil's Controlled Blasting, LP 490 Main Street Newcastle, CA 95658	797732	1000010829	Hydrauger - Type 1 Hydrauger - Type 2
Neil's Controlled Blasting, LP 490 Main Street Newcastle, CA 95658	797732	1000010829	Hydrauger - Type 3 Rock Anchor - Type 1 (Class 2) Rock Anchor - Type 2 (Class 2)
Neil's Controlled Blasting, LP 490 Main Street Newcastle, CA 95658	797732	1000010829	Roch Anchor - Type 3 (Class 1) Rock Anchor - Type 4 (Class 1) Shotcrete

Requirements:

In accordance with Public Contract Code section 4100 et seq., the bidder shall list, in the space provided on this form, the name, location of the place of business, California contractor license number, and DIR registration number of each subcontractor who will perform any portion of the work in an amount in excess of one-half of one percent of the total amount of the bid, and the portion of the work which each is to perform.

In accordance with Public Contract Code section 7125.5, all subcontractors identified must be DIR registered contractors at time of bid opening or become registered within 24 hours of bid opening pursuant to Labor Code section 1771.1.c(1) or (2). Contractors awarded the contract with a subcontractor not DIR registered must comply with section 1771.1(c)(3) without adjustment for contract time or contract price.

Additionally, in accordance with Public Contract Code section 4100 et seq. and section 10115.12, the bidder shall list, in the space provided on this form, the name, location of the place of business, and California contractor license number of each DVBE subcontractor who will be used by the bidder to fulfill DVBE participation and who will perform any portion of the work in an amount in excess of one-half of one percent of the total amount of the bid, and the portion of the work which each DVBE is to perform.

SUBCONTRACTOR LIST

SPECIFICATION NO.: 17-09

CONTRACTOR: Oroville Dam Constructors

Name and Location of Subcontractor	CSLB License No.	DIR Registration No.	Items or Portions of Work to be Performed
CMC Rebar 120 W. Larch Road Tracy, CA 95304	778010	1000000298	Reinforcing Steel-Partial Reinforcing Steel Epoxy Coated-Partial Reinforcing Steel Galvanized-Partial
CMC Rebar 120 W. Larch Road Tracy, CA 95304	778010	1000000298	Reinforcing Steel Stainless Steel-Partial Emergency Spillway Cutoff Wall-Partial RCC Emergency Spillway-Partial

Requirements:

In accordance with Public Contract Code section 4100 et seq., the bidder shall list, in the space provided on this form, the name, location of the place of business, California contractor license number, and DIR registration number of each subcontractor who will perform any portion of the work in an amount in excess of one-half of one percent of the total amount of the bid, and the portion of the work which each is to perform.

In accordance with Public Contract Code section 7125.5, all subcontractors identified must be DIR registered contractors at time of bid opening or become registered within 24 hours of bid opening pursuant to Labor Code section 1771.1.c(1) or (2). Contractors awarded the contract with a subcontractor not DIR registered must comply with section 1771.1(c)(3) without adjustment for contract time or contract price.

Additionally, in accordance with Public Contract Code section 4100 et seq. and section 10115.12, the bidder shall list, in the space provided on this form, the name, location of the place of business, and California contractor license number of each DVBE subcontractor who will be used by the bidder to fulfill DVBE participation and who will perform any portion of the work in an amount in excess of one-half of one percent of the total amount of the bid, and the portion of the work which each DVBE is to perform.

00425-1

SUBCONTRACTOR LIST

SPECIFICATION NO .: 17-09



CONTRACTOR: Oroville Dam Constructors

Name and Location of Subcontractor	CSLB License No.	DIR Registration No.	Items or Portions of Work to be Performed
Fisher Sand & Gravel Company 1302 W. Drivers Way Tempe, AZ 85284	837141	1000031051	Aggregate Crushing-Partial
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Requirements:

In accordance with Public Contract Code section 4100 et seq., the bidder shall list, in the space provided on this form, the name, location of the place of business, California contractor license number, and DIR registration number of each subcontractor who will perform any portion of the work in an amount in excess of one-half of one percent of the total amount of the bid, and the portion of the work which each is to perform.

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00425-1

SUBCONTRACTOR LIST

SPECIFICATION NO.: 17-09

CONTRACTOR: Oroville Dam Constructors

Name and Location of Subcontractor	CSLB License No.	DIR Registration No.	Items or Portions of Work to be Performed

Requirements:

In accordance with Public Contract Code section 4100 et seq., the bidder shall list, in the space provided on this form, the name, location of the place of business, California contractor license number, and DIR registration number of each subcontractor who will perform any portion of the work in an amount in excess of one-half of one percent of the total amount of the bid, and the portion of the work which each is to perform.

In accordance with Public Contract Code section 7125.5, all subcontractors identified must be DIR registered contractors at time of bid opening or become registered within 24 hours of bid opening pursuant to Labor Code section 1771.1.c(1) or (2). Contractors awarded the contract with a subcontractor not DIR registered must comply with section 1771.1(c)(3) without adjustment for contract time or contract price.

Additionally, in accordance with Public Contract Code section 4100 et seq. and section 10115.12, the bidder shall list, in the space provided on this form, the name, location of the place of business, and California contractor license number of each DVBE subcontractor who will be used by the bidder to fulfill DVBE participation and who will perform any portion of the work in an amount in excess of one-half of one percent of the total amount of the bid, and the portion of the work which each DVBE is to perform.

BIDDER'S AGREEMENT

1. To the State of California, Department of Water Resources:

The bidder agrees, if this bid is accepted, to contract with the State of California in the form of contract attached hereto, and to execute such contract and furnish the required contract bonds and certificate of liability insurance in the manner and within the time specified. The bidder further agrees: that it has carefully examined the work as required by Document 00200 – Instructions to Bidders, and all related information and data identified in Document 00300 – Information Available; that the only parties interested in this bid as principals are those named herein; that this bid is made without collusion with any other party; and that the bidder is fully aware of the requirements and obligations pertaining to the following certifications and declarations.

The bidder:

- A. Certifies, unless specifically exempted, compliance with Government Code section 12990 and section 8101 et seq., of Title 2, California Code of Regulations in matters relating to the development, implementation and maintenance of a nondiscrimination program. The bidder also agrees not to unlawfully discriminate against any employees or applicants for employment because of race, religion, color, national origin, ancestry, physical handicap, medical condition, marital status, sex or age (over forty); and
- B. Pursuant to Public Contract Code section 10162, declares under penalty of perjury that, to the best of its knowledge and belief, the bidder, no officer of the bidder and no employee of the bidder who has a proprietary interest in the bidder, has ever been disqualified, removed, or otherwise prevented from bidding on, or completing a Federal, State, or local government project because of a violation of law, or safety regulation, except for those incidents which are explained in detail in documents furnished with this bid; and
- C. Declares, under penalty of perjury, that in accordance with Public Contract Code section 10285.1, the bidder has not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of State or Federal antitrust law in connection with the bidding upon, award

of, or performance of, any public works contract, as defined in Public Contract Code section 1101, including the Regents of the University of California or the Trustees of the California State University. The term "bidder" is understood to include any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in section 10285.1; and

- D. Declares, under penalty of perjury, that in accordance with Public Contract Code section 10232, no more than one final unappealable finding of contempt of court by a federal court has been issued against Contractor within the immediately preceding two year period because of Contractor's failure to comply with an order of a federal court which orders Contractor to comply with an order of the National Labor Relations Board; and
- E. Declares, under penalty of perjury, if Small Business preference is requested, that bidder is a Small Business as defined by section 1896 et seq., of Title 2, California Code of Regulations; and
- F. Certifies the accuracy of the representations made on the Bidder's Signature Page; and
- G. Certifies that, in making the bid, the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded. conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that statements contained in the bid are true; and further, that the bidder has not, directly or indirectly, furnished any bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid; and

- H. Certifies that, if awarded a contract, Contractor will provide a drug-free workplace for its employees by:
 - Publishing a statement notifying employees that unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations, as required by Government Code section 8355(a).
 - Establishing a Drug-Free Awareness Program as required by Government Code section 8355(b), to inform employees of all of the following:
 - a. The dangers of drug abuse in the workplace.
 - b. The person's or organization's policy of maintaining a drug-free workplace,
 - c. Any available counseling, rehabilitation and employee assistance programs, and
 - d. Penalties that may be imposed upon employees for drug abuse violations.
 - 3) Providing, as required by Government Code section 8355(c), that every employee who works on the proposed contract:
 - a. Will receive a copy of the company's drug-free policy statement, and
 - b. Will agree to abide by the terms of the company's statement as a condition of employment on the contract.
- Certifies, under penalty of perjury, that bidder is a "California company" as defined in Public Contract Code section 6107 unless indicated otherwise on Document 00443 – California Company Preference Form. ("Non-California companies" must fill out the referenced form to indicate their status.)
- J. Certifies the following:
 - 1) The Bidder is currently registered as a contractor with the Department of Industrial Relations ("DIR").
 - 2) The Bidder, if awarded the contract for the work will remain a DIR registered contractor for the entire duration of the work.

- If any of the statements herein are false or omit material facts rendering a statement to be false or misleading, the Bidder's Bid is subject to rejection for non-responsiveness.
- K. Certifies compliance with the following pursuant to Public Contract Code section 2010:
 - CALIFORNIA CIVIL RIGHTS LAWS: For contracts over \$100,000 executed or renewed after January 1, 2017, the Contractor certifies compliance with the Unruh Civil Rights Act (Section 51 of the Civil Code) and the Fair Employment and Housing Act (Section 12960 of the Government Code); and
 - 2) EMPLOYER DISCRIMINATORY POLICIES: For contracts over \$100,000 executed or renewed after January 1, 2017, if a Contractor has an internal policy against a sovereign nation or peoples recognized by the United States government, the Contractor certifies that such policies are not used in violation of the Unruh Civil Rights Act (Section 51 of the Civil Code) or the Fair Employment and Housing Act (Section 12960 of the Government Code).
- 2. In signing Document 00428 Bidder's Signature Page, the bidder swears that it is duly authorized to legally bind the bidder to the above described certifications and declarations and is fully aware that the certifications and declarations are made under penalty of perjury under the laws of the State of California.

END OF DOCUMENT

Bidder's Signature Page

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DOCUMENT 00428 (Page 1 of 4)

BIDDER'S SIGNATURE PAGE

Accompanying this proposal is: Bidder	Accompany	ing this	proposal	is:	Bidder's	Bond
---------------------------------------	-----------	----------	----------	-----	----------	------

Notice: Insert the words "cash, \$'s", "cashier's check", "certified check", or "bidder's bond" as the case may be (In amount equal to at least 10 percent (10%) of the total amount of the bid)

BIDDER'S NAME:	Oroville Dam Co (Name style	onstructors as recorded on California Contractor's License)	
DIR	Registration No.:	1000048173	_
California Contrac	tor's License No.:	1025587	
	Expiration Date:	04/30/2019	
Contractor's Federal E	mployer I.D. No.:	82-1172920	

If the bidder is a corporation, the legal name of the corporation shall be set forth above together with the signature of the officer or officers authorized to sign contracts on its behalf and the corporate seal; if the bidder is a partnership, the true name of the firm shall be set forth above together with the signature of the partner or partners authorized to sign contracts on its behalf; and if the bidder is an individual, the signature shall be placed below. If the signature is by an agent, other than the officer of a corporation authorized to sign contracts on its behalf or a member of a partnership, refer to Document 00200 – Instructions to Bidders.

SIGN HERE ►	Oroville Dam Constructors PRINTED NAME OF BIDDER SIGNATURE OF BIDDER Desai, Attorney in Fact TITLE
BUSINESS ADDRESS:	585 West Beach Street, Watsonville, CA 95076
DATED:	April 15 , 20 17

DOCUMENT 00428 (Page 2 of 4)

BYRD ANTI-LOBBYING AMENDMENT

Byrd Anti-Lobbying Amendment, 31 USC Section 1352 (as amended)

Contractors who apply or bid for an award of \$100,000 or more shall file the required certification in Document 00455B. Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant, or any other award covered by 31 USC Section 1352. Each tier shall also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award. Such disclosures are forwarded from tier to tier, up to the recipient.

DOCUMENT 00428 (Page 3 of 4)

ANTI-LOBBYING CERTIFICATION APPENDIX A, 44 C.F.R. PART 18

Certification for Contracts, Grants, Loans, and Cooperative Agreements

(To be submitted with each bid or offer exceeding \$100,000)

The undersigned [Contractor] certifies, to the best of his or her knowledge, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form- LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

3. The undersigned shall require that the language of this certification be included in the award documents for all sub awards at all tiers (including subcontracts, sub grants, and contracts under grants, loans, and cooperative agreements) and that all sub recipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC Section 1352 (as amended by the Lobbying Disclosure Act of 1995). Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

DOCUMENT 00428 (Page 4 of 4)

The Contractor, <u>Oroville Dam Constructors</u>, certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the Contractor understands and agrees that the provisions of 31 U.S.C. Section 3801 et seq., apply to this certification and disclosure, if any.

Signature of Contractor's Authorized Official

Jigisha Desai, Attorney in Fact Name and Title of Contractor's Authorized Official

April 15, 2017 Date

DOCUMENT 00430 BIDDER'S BOND

STATE OF CALIFORNIA

DEPARTMENT OF WATER RESOURCES

Know All Men by These Presents,

That we Oroville Dam Constructors	1
	as PRINCIPAL and
Travelers Casualty and Surety Company of A	merica
	······································
(10%) OF THE TOTAL AMOUNT OF THE BID to to the State of California, acting by and through t Resources, or the Department of General Service payment of which sum in lawful money of the U the Department to which said bid was submitted, successors, jointly and severally, firmly by these p	e State of California in the penal sum of TEN PERCENT of the Principal above named, submitted by said Principal he Department of Transportation, the Department of Water s, as the case may be, for the work described below, for the Inited States, well and truly to be made, to the Director of we bind ourselves, our heirs, executors, administrators and resents. In no case shall the liability of the surety here-
under exceed the sum of \$ Ten Percent (10%) of A	Amount Bid
That whereas the Principal has submitte aforesaid, for certain construction specifically desc	F THIS OBLIGATION IS SUCH, ed the above-mentioned bid to the State of California, as ribed as follows, for which bids are to be opened at
Sacramento, CA	, California, on April 15, 2017 (Insert date of bid opening)
for Spillways, Oroville Emergency Recovery, Spec	cification No. 17-09, Contract No. C51544
	ork, including location, as it appears on the proposal)
NOW, THEREFORE, If the aforesaid P manner required under the specifications, after enters into a written contract, in the prescribed f the Department, one to guarantee faithful perfor materials, as required by law, then this obligatio in full force and virtue.	Principal is awarded the contract and, within the time and the prescribed forms are presented to it for signature, orm, in accordance with the bid, and files two bonds with mance and the other to guarantee payment for labor and n shall be null and void; otherwise, it shall be and remain
IN WITNESS WHEREOF, We have hereu	into set our hands and seals on this <u>13th</u>
day of April A.D. 20.17	
	Oroville Dam Constructors
	Contractor Jigisha Desai, Attorney in Fact
	Travelers Casualty and Surety Company of America
10III	By Control Attorney-in-Fact Kathleen Schreckengost
[[Seal]]	Address. 100 Pine Street, 11th Floor, San Francisco, CA 94111
	Telephone No. (415) 403-1427
NOTE: Signatures of those executing for the surety must be pre-	•

vertificate verifies only the identity of the individual who signed the document to which this certificate is (ttached, and not the truthfulness, accuracy, or validity of that document.	ACKNOWLEDGMENT
State of California County of <u>Santa Cruz</u>)
On April 13, 2017	before me,Tobi Stonich, Notary Public
	(insert name and title of the officer)
subscribed to the within instru his/her/their authorized capac	s of satisfactory evidence to be the person(s) whose name(s) is/are ment and acknowledged to me that he/she/they executed the same ity(ies), and that by his/her/their signature(s) on the instrument the behalf of which the person(s) acted, executed the instrument.
I certify under PENALTY OF F paragraph is true and correct.	PERJURY under the laws of the State of California that the foregoin



POWER OF ATTORNEY

Farmington Casualty Company Fidelity and Guaranty Insurance Company Fidelity and Guaranty Insurance Underwriters, Inc. St. Paul Fire and Marine Insurance Company St. Paul Guardian Insurance Company

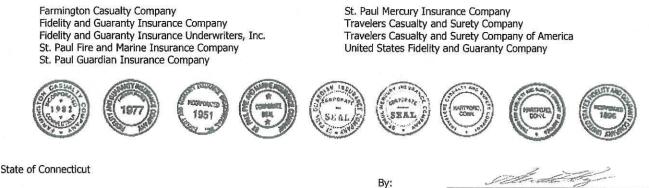
St. Paul Mercury Insurance Company Travelers Casualty and Surety Company Travelers Casualty and Surety Company of America United States Fidelity and Guaranty Company

Attorney-In-Fact No. 228138

KNOW ALL MEN BY THESE PRESENTS: That St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company and St. Paul Mercury Insurance Company are corporations duly organized under the laws of the State of Minnesota, that Farmington Casualty Company, Travelers Casualty and Surety Company, and Travelers Casualty and Surety Company of America are corporations duly organized under the laws of the State of Connecticut, that United States Fidelity and Guaranty Company is a corporation duly organized under the laws of the State of Maryland, that Fidelity and Guaranty Insurance Company is a corporation duly organized under the laws of the State of Iowa, and that Fidelity and Guaranty Insurance Underwriters, Inc. is a corporation duly organized under the laws of the State of Wisconsin (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint John D. Gilliland, Jigisha Desai, Kathleen Schreckengost, Catherine Gustavson, Ashley Stinson, and Lillian Tse of the City of Watsonville, State of California, their true and lawful Attorney(s)-in-Fact, each in their separate capacity if more than one is named above, to sign, execute, seal and acknowledge any and all bonds, recognizances, conditional undertakings and other writings obligatory in the nature thereof on behalf of the Companies in their business of guaranteeing the fidelity of persons, guaranteeing the performance of contracts and executing or guaranteeing bonds and undertakings required or permitted in any actions or proceedings allowed by law.

This Power of Attorney is limited to bonds, recognizances, conditional undertakings and other writings obligatory in the nature thereof for Granite Construction Incorporated and all subsidiaries and affiliates, alone or in joint venture.

IN WITNESS WHEREOF, the Companies have caused this instrument to be signed and their corporate seals to be hereto affixed, this 26th day of June, 2014.



City of Hartford ss.

By:

Robert L. Raney, Senior Vice President

On this the 26th day of June, 2014, before me personally appeared Robert L. Raney, who acknowledged himself to be the Senior Vice President of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Underwriters, Inc., St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company, and that he, as such, being authorized so to do, executed the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

In Witness Whereof, I hereunto set my hand and official seal.

My Commission expires the 30th day of June, 2016.



Marie C Letreault Marie C. Tetreault, Notary Public

This Power of Attorney is granted under and by the authority of the following resolutions adopted by the Boards of Directors of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Underwriters, Inc., St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company, which resolutions are now in full force and effect, reading as follows:

RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President, any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary may appoint Attorneys-in-Fact and Agents to act for and on behalf of the Company and may give such appointee such authority as his or her certificate of authority may prescribe to sign with the Company's name and seal with the Company's seal bonds, recognizances, contracts of indemnity, and other writings obligatory in the nature of a bond, recognizance, or conditional undertaking, and any of said officers or the Board of Directors at any time may remove any such appointee and revoke the power given him or her; and It is

FURTHER RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President may delegate all or any part of the foregoing authority to one or more officers or employees of this Company, provided that each such delegation is in writing and a copy thereof is filed in the office of the Secretary; and it is

FURTHER RESOLVED, that any bond, recognizance, contract of Indemnity, or writing obligatory in the nature of a bond, recognizance, or conditional undertaking shall be valid and binding upon the Company when (a) signed by the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary and duly attested and sealed with the Company's seal by a Secretary or Assistant Secretary; or (b) duly executed (under seal, if required) by one or more Attorneys-in-Fact and Agents pursuant to the power prescribed in his or her certificate or their certificates of authority or by one or more Company officers pursuant to a written delegation of authority; and it is

FURTHER RESOLVED, that the signature of each of the following officers: President, any Executive Vice President, any Senior Vice President, any Vice President, any Assistant Vice President, any Secretary, any Assistant Secretary, and the seal of the Company may be affixed by facsimile to any Power of Attorney or to any certificate relating thereto appointing Resident Vice Presidents, Resident Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such Power of Attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding on the Company in the future with respect to any bond or understanding to which it is attached.

I, Kevin E. Hughes, the undersigned, Assistant Secretary, of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Underwriters, Inc., St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company do hereby certify that the above and foregoing is a true and correct copy of the Power of Attorney executed by said Companies, which is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this April 13, 2017

Ker E Flaghen

Kevin E. Hughes, Assistant Secretary

. . .



To verify the authenticity of this Power of Attorney, call 1-800-421-3880 or contact us at www.travelersbond.com. Please refer to the Attorney-In-Fact number, the above-named individuals and the details of the bond to which the power is attached.

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DOCUMENT 00441

SMALL BUSINESS PREFERENCE AND CERTIFICATION REQUEST FOR CONSTRUCTION CONTRACTORS ONLY

(THIS FORM MUST REMAIN WITH THE BID PACKAGE)

THE UNDERSIGNED HEREBY REQUESTS PREFERENCE AS A "SMALL BUSINESS" AND FURTHER CERTIFIES UNDER PENALTY OF PERJURY, THAT THE FIRM STILL MEETS THE REQUIREMENTS OF SECTION 1896.12 OF TITLE 2, CALIFORNIA CODE OF REGULATIONS, AND HAS BEEN APPROVED BY THE DEPARTMENT OF GENERAL SERVICES, PROCUREMENT DIVISION, SMALL BUSINESS AND DVBE CERTIFICATION TO QUALIFY FOR THE SMALL BUSINESS PREFERENCE. THE UNDERSIGNED FURTHER DECLARES, UNDER PENALTY OF PERJURY, THAT IF THE LOWEST RESPONSIBLE BID EXCEEDS \$100,000 AND THE WORK TO BE PERFORMED REQUIRES A **CLASS A** OR **CLASS B** CONTRACTOR'S LICENSE AND 2 OR MORE SUBCONTRACTORS WILL BE USED, THAT AT LEAST 50 PERCENT OF THE SUBCONTRACTORS TO BE UTILIZED ON THE PROJECT ARE EITHER CERTIFIED SMALL BUSINESS OR HAVE APPLIED FOR SMALL BUSINESS CERTIFICATION BY BID OPENING DATE AND ARE SUBSEQUENTLY GRANTED SMALL BUSINESS CERTIFICATION. AN APPROVED FORM STD. 812 MUST BE ON FILE IN THE SMALL BUSINESS AND DVBE CERTIFICATION OFFICE BY 5:00 P.M. OF THE BID OPENING DATE. FORM STD. 812 MAY BE OBTAINED FROM THE SMALL BUSINESS AND DVBE CERTIFICATION OFFICE, 707 THIRD STREET, 1ST FLOOR, ROOM 400, WEST SACRAMENTO, CA 95605, TELEPHONE: (916) 375-4940.

IMPORTANT NOTICE (Read before signing)

THE "SMALL BUSINESS PREFERENCE AND CERTIFICATION REQUEST" MUST BE SIGNED IN THE SAME NAME STYLE IN WHICH THE BIDDER IS LICENSED BY THE CONTRACTORS STATE LICENSE BOARD. BIDDERS BIDDING JOINTLY OR AS A COMBINATION OF SEVERAL BUSINESS ORGANIZATIONS ARE ESPECIALLY CAUTIONED THAT SUCH BIDDERS MUST BE JOINTLY LICENSED AND APPROVED IN THE SAME STYLE IN WHICH THE BID IS EXECUTED.

Legal Name Style of Bidder(s)

Signature of Bidder

Date

IN THE EVENT THE BIDDER HAS RECEIVED ASSISTANCE IN OBTAINING BONDING FOR THIS PROJECT, BIDDER SHALL SET FORTH THE NAME AND NATURE OF THE FIRM PROVIDING SUCH ASSISTANCE. IF THE FIRM IS LISTED AS A SUBCONTRACTOR, BIDDER SHALL SET FORTH THE PERCENTAGE OF THE CONTRACT TO BE PERFORMED BY THE SUBCONTRACTOR.

Name of Firm

Subcontractor

Yes 🛛 No

Percentage

SPECIAL ATTENTION IS DIRECTED TO SECTION 1896.18 OF TITLE 2, CALIFORNIA CODE OF REGULATIONS FOR PENALTIES FOR FURNISHING INCORRECT SUPPORTING INFORMATION IN OBTAINING PREFERENCE.

,

DEPARTMENT OF GENERAL SERVICES, PROCUREMENT DIVISION SMALL BUSINESS CERTIFICATE

CALIFORNIA COMPANY PREFERENCE FORM

For use on Construction Contracts for which a California Contractors License is required.

Failure to fill out and sign this form may be cause for rejection of your bid.

The undersigned hereby states that it is or is not as designated below a "California company" in accordance with Document 00205 – Instructions for California Company Preference. (Check the appropriate box.)

I am a "California company".

I am not a "California company".

If "I am not a "California company" was checked, indicate your state of residence, and the amount of preference given to local contractors in your state of residency on its public entity construction contracts.

State of	
Preference	
percent	
Maximum dollar	
Amount	

Х

Please explain if neither percent nor dollars is used to determine amount of preference.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date: April 15, 2017

Signature of Bidder)

Jigisha Desai, Attorney in Fact, Oroville Dam Constructors

State of California

DOCUMENT 00444A

REQUEST FOR NON-SMALL BUSINESS BID PREFERENCE FOR USING CALIFORNIA CERTIFIED SMALL BUSINESS SUBCONTRACTORS/SUPPLIERS

(THIS FORM MUST REMAIN WITH THE BID PACKAGE)

THE UNDERSIGNED HEREBY REQUESTS A FIVE PERCENT (5%) BID PREFERENCE BY DOING ALL OF THE FOLLOWING:

- 1) COMMITTING TO AT LEAST 25 PERCENT CALIFORNIA CERTIFIED SMALL BUSINESS SUBCONTRACTOR/SUPPLIER PARTICIPATION ON THIS CONTRACT; AND
- 2) COMPLETING AND SIGNING THIS FORM (DOCUMENT 00444A) IN THE SAME NAME STYLE IN WHICH THE BIDDER IS LICENSED BY THE CONTRACTORS STATE LICENSE BOARD. BIDDERS BIDDING JOINTLY OR AS A COMBINATION OF SEVERAL BUSINESS ORGANIZATIONS ARE CAUTIONED THAT SUCH BIDDERS MUST BE JOINTLY LICENSED AND APPROVED IN THE SAME STYLE IN WHICH THE BID IS EXECUTED; AND
- 3) COMPLETING AND SUBMITTING DOCUMENT 00444B CALIFORNIA CERTIFIED SMALL BUSINESS SUBCONTRACTORS/SUPPLIERS WITH THE BID.

EACH CERTIFIED SMALL BUSINESS MUST PERFORM A "COMMERCIALLY USEFUL FUNCTION" IN THE PERFORMANCE OF THE CONTRACT AS DEFINED IN GOVERNMENT CODE SECTION 14837(D)(4). SMALL BUSINESS BIDS CANNOT BE DISPLACED BY NON-SMALL BUSINESS BIDS WHEN APPLYING ANY APPLICABLE LAWFUL PREFERENCES.

N/A

Legal Name Style of Bidder(s)

Signature of Bidder

Date

ATTACH: DOCUMENT 00444B.

BIDDER IS ALSO CAUTIONED TO ENSURE THAT EACH SMALL BUSINESS SUBCONTRACTOR/SUPPLIER HAS EXECUTED A COPY OF DOCUMENT 00444C – SMALL BUSINESS (SB): CERTIFICATION OF COMMERCIALLY USEFUL FUNCTION AND TO ATTACH SUCH CERTIFICATIONS TO THIS FORM.

SPECIAL ATTENTION IS DIRECTED TO SECTION 1896.18 OF TITLE 2, CALIFORNIA CODE OF REGULATIONS FOR PENALTIES FOR FURNISHING INCORRECT SUPPORTING INFORMATION IN OBTAINING PREFERENCE.

California Certified Small Business Subcontractors/Suppliers

DOCUMENT 00444B

CALIFORNIA CERTIFIED SMALL BUSINESS SUBCONTRACTORS/SUPPLIERS

Company Address	Phone No.	Description of Work to be Performed	\$ Amount or Percentage of Work
P.O. Box 1330 Newcastle, CA 95658	800-578-2354	Seeding and Erosion Control	\$520,000.
300 S. Highland Springs Ave Suite 6C #135 Banning, CA 92220	530-273-1639	Waterproofing	\$449,000
12305 Locksley Lane Auburn, CA 95602	530-273-1639	Construction Material Supply	\$ 40,000.
P.O. Box 608 Anderson, CA 96007	530-639-9987	Traffic Control (Partial)	\$100,000.
-	P.O. Box 1330 Newcastle, CA 95658 300 S. Highland Springs Ave Suite 6C #135 Banning, CA 92220 12305 Locksley Lane Auburn, CA 95602 P.O. Box 608	P.O. Box 1330 Newcastle, CA 95658 800-578-2354 300 S. Highland Springs Ave Suite 6C #135 Banning, CA 92220 530-273-1639 12305 Locksley Lane Auburn, CA 95602 530-273-1639 P.O. Box 608 530-273-1639	P.O. Box 1330 Newcastle, CA 95658800-578-2354Seeding and Erosion Control300 S. Highland Springs Ave Suite 6C #135 Banning, CA 92220530-273-1639Waterproofing12305 Locksley Lane Auburn, CA 95602530-273-1639Construction Material SupplyP.O. Box 608530-273-1639Construction Material Supply

Attach additional pages if necessary

DOCUMENT 00444C (Page 1 of 2)

SMALL BUSINESS (SB): CERTIFICATION OF COMMERCIALLY USEFUL FUNCTION

Notice to Bidder: In accordance with Document 00202, Paragraph 1.B.5), bidder is strongly urged to insure that, prior to listing a SB on Document 00444B, each SB execute a certification of "commercially useful function," and to submit such certification with bidder's documentation of goal attainment. This document may be used for such purpose.

Make additional copies of the blank form as needed.

In accordance with Government Code 14387, and implementation of Senate Bill 1510 (Wright) Commercially Useful Function effective January 1, 2013, a certified small business or micro business is deemed to perform a "commercially useful function," as defined below, in providing services or goods that contribute to the fulfillment of the contract requirements:

- 1) A person or entity is deemed to perform a commercially useful function if a person or entity does all of the following:
 - a. Is responsible for the execution of a distinct element of the work of the contract.
 - b. Carries out the obligation by actually performing, managing, or supervising the work involved.
 - c. Performs work that is normal for its business services and functions.
 - d. Is not further subcontracting a portion of the work that is greater than that expected to be subcontracted by normal industry practices.
 - e. Is responsible, with respect to products, inventories, materials, and supplies required for the contract, for negotiating price, determining quality and quantity, ordering, installing, if applicable, and making payment.
- 2) A contractor, subcontractor, or supplier will not be considered to perform a commercially useful function if the contractor's, subcontractor's, or supplier's role is limited to that of an extra participant in a transaction, contract, or project through which funds are passed in order to obtain the appearance of SB participation.

(continued on next page)

DOCUMENT 00444C (Page 2 of 2)

SMALL BUSINESS (SB): CERTIFICATION OF COMMERCIALLY USEFUL FUNCTION

Specification No.: 17-09

Title: Spillways Oroville Emergency Recovery

The undersigned SB owner or officer certifies that the SB listed below will perform a commercially useful function as defined in Government Code 14387 and implementation of Senate Bill 1510 (Wright) Commercially Useful Function effective January 1, 2013.

Selby's Soil Erosion Control Co Inc. Name of SB
Name of SB ′
Seeding And Erosium Control
Work to be Performed
DUNS
Signature of SB Owner or Officer
president
Title
4/15/17
Date Signed

Department of General Services SB Certification Number:

162

Department of General Services SB Certification Date:

06/18/2014

Department of General Services Certification Expiration Date:

6/30

DOCUMENT 00444C (Page 2 of 2)

SMALL BUSINESS (SB): CERTIFICATION OF COMMERCIALLY USEFUL FUNCTION

Specification No.: 17-09

Title: Spillways Oroville Emergency Recovery

The undersigned SB owner or officer certifies that the SB listed below will perform a commercially useful function as defined in Government Code 14387 and implementation of Senate Bill 1510 (Wright) Commercially Useful Function effective January 1, 2013.

Diversified Construction International dba Dci Inc

Name of SB

Water Proofing		
Work to be Performed	**************************************	
Signature of SB Owner or Officer		
President		
Title		-
4/14/2017		
Date Signed	Marana, ang balanda ang ang ang ang ang ang ang ang ang an	-
Department of General Services SB Certification Number:	1786659	-
Department of General Services SB Certification Date:	Jul 15 2014	-
Department of General Services Certification Expiration Date:	Jul 31, 2018	

DOCUMENT 00444C (Page 2 of 2)

SMALL BUSINESS (SB): CERTIFICATION OF COMMERCIALLY USEFUL FUNCTION

Specification No.: 17-09

Title:

Spillways Oroville Emergency Recovery

The undersigned SB owner or officer certifies that the SB listed below will perform a commercially useful function as defined in Government Code 14387 and implementation of Senate Bill 1510 (Wright) Commercially Useful Function effective January 1, 2013.

NID S DVBE, INC

Name of

Traffic Control - Partial Work to be Performed

President H For

Presider

Signature of SB Owner or Officer

Title

Date Signed

Department of General Services SB Certification Number:

Department of General Services SB Certification Date:

Department of General Services Certification Expiration Date:

5300

00444C-2

K-17

DOCUMENT 00444C (Page 2 of 2)

SMALL BUSINESS (SB): CERTIFICATION OF COMMERCIALLY USEFUL FUNCTION

Specification No.: 17-09

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Title: Spillways Oroville Emergency Recovery

The undersigned SB owner or officer certifies that the SB listed below will perform a commercially useful function as defined in Government Code 14387 and implementation of Senate Bill 1510 (Wright) Commercially Useful Function effective January 1, 2013.

USC Supply		
Name of SB		
Construction Material Supply		
Work to be Performed	E - Trade - and a grant grant and the figure - and a grant and grant and grant and grant and grant and grant an	
eas?		
Signature of SB Owner or Officer	······································	-
President		
Title		-
4/14/2017		
Date Signed	an a	
Department of General Services SB Certification Number:	1568820	
Department of General Services SB Certification Date:	01/17/2017	
Department of General Services Certification Expiration Date:	1/31/2019	

DOCUMENT 00445 DVBE PARTICIPATION

Bidder must complete the table below and sign to qualify for DVBE goal attainment or DVBE incentive and must list, on Document 00425 – Subcontractor List, subcontractors performing greater than one-half of one percent of the work

Spec. No. & Title: 17-09 Spillways Oroville Emergency Recovery

Bidder. Oroville Dam Constructors

Phone: 916-855-4400

DVBE Name, Address, Phone Number (Identify Second and Lower Tiers)	Description of Work or Services to be Subcontracted or Materials to be Provided	Bid Item No.	DGS DVBE Cert. #	Dollars Committed	Percent of Bid	Listed as Subcontrac- tor on Doc. 00425?	Expected DVBE Start- Work Date
Diversified Construction Internatior 300 S. Highland Springs Avenue Suite 6C #135 Banning, CA 92220 530-273-1639	al dba DCI, INC. Waterproofing/Concrete Coating	63B	1786659	\$449,000.	0.1%	NO	08/01/2017
Elite Universal Services 5548 Feather River Olivehurst, CA 95961 530-749-0280	Gate Guard Services	4	32131	\$810,000.	0.2%	NO	04/25/2017
T and S DVBE, Inc. P.O. Box 608 Anderson, CA 96007 530-639-9987	Traffic Control (Partial)	5	1753009	\$100,000.	0.01%	NO	04/25/2017
		1					
		то:	TALS DVBE	\$ 1,359,000.	% 0.39	DWR Check	1

Ante Ole

Oroville Dam Constructors Bidder's Printed Name April 15, 2017

Date

Biddens Signature Jigisha Desai, Attorney in Fact

Attach Additional Sheets as Needed - Sign and Date Each Sheet

DOCUMENT 00445 DVBE PARTICIPATION

Bidder must complete the table below and sign to qualify for DVBE goal attainment or DVBE incentive and must list, on Document 00425 – Subcontractor List, subcontractors performing greater than one-half of one percent of the work

Spec. No. & Title:

Bidder:

Phone:

DVBE Name, Address, Phone Number (Identify Second and Lower Tiers)	Description of Work or Services to be Subcontracted or Materials to be Provided	Bid Item No.	DGS DVBE Cert. #	Dollars Committed	Percent of Bid	Listed as Subcontrac- tor on Doc. 00425?	Expected DVBE Start- Work Date
						DWR Check	
		ΤΟΤΑ	LS DVBE	\$	%	DVVR Check	
- Inta Olu	Oroville Dam	Construct	ors		April 15, 20	17	
Bidder's Signature Jigisha Desai, Attorn Attach Additional Sheets as Needed – Sign and D				Date			

DOCUMENT 00447

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DVBE: BROKER OR AGENT

Specification No.:
Title:
Note to Bidder: This form must be completed and signed by each DVBE prime contractor who is a broker or agent. Make additional copies of the blank form as needed.
A DVBE that is a broker or agent and that obtains a contract by the Department pursuant to Military and Veterans Code section 999.2 (a) shall disclose to the Department that the business is a broker or agent. This disclosure shall be signed and executed by each DVBE owner and manager of the enterprise. Reference: Military and Veterans Code section 999.2 (f).
I declare that the following DVBE is a broker or agent:
Name of DVBE Broker or Agent
Principal for whom the DVBE is acting as a broker or agent: Principal Name
Principal Address
Principal Telephone Number
Signature of DVBE Owner(s)
Executed: Date
Signature of DVBE Manager
Executed: Date

DOCUMENT 00448 (Page 1 of 2)

DVBE: DECLARATIONS - EQUIPMENT RENTAL

Specification No.:

Title:_____

Notice to Bidder: This two-page form must be completed and signed by each DVBE prime contractor that rents equipment (including equipment plus operator) to the Department.

Such DVBE shall be deemed to be an equipment broker unless one or more disabled veterans has 51-percent ownership of the quantity and the value of each piece of equipment. If the equipment is owned by one or more disabled veterans, <u>each disabled veteran owner</u> shall submit the following declaration. Reference: Military and Veterans Code section 999.2 (c).

Equipment (including equipment plus operator) which the Department rents from an equipment broker cannot be credited toward DVBE participation. Reference: Military and Veterans Code section 999.2 (e).

Make additional copies of the blank form as needed.

Equipment to be used in this contact:

(continued on next page)

DOCUMENT 00448 (Page 2 of 2)

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DVBE: DECLARATIONS - EQUIPMENT RENTAL

Spec	ification No.:	
Title:		
l dec	lare the following:	
1)	that I am a disabled veteran own	er of the following equipment:
	Equipment	Percent Ownership
. <u> </u>		
		·····
Addre	ess	
Telep	phone Number	
Tax I	D#	
Have Gene	you submitted your federal income ral Services pursuant to Military ar	e tax returns to the Department of d Veterans Code section 999.2 (g)?
	Yes	No
Signa	ature of Disabled Veteran Owner	
Date	Signed	

DOCUMENT 00449

DVBE: DECLARATIONS OF MEETING AND MAINTAINING REQUIREMENT OF A DVBE – EQUIPMENT RENTAL

Specification No.:_____

Title:

Notice to Bidder: A DVBE prime contractor that rents equipment (including equipment plus operator) to the Department shall submit to the Department a declaration signed by each disabled veteran owner and manager of the enterprise stating that the enterprise obtained the contract by representing that the enterprise was a DVBE meeting and maintaining all of the requirements of a DVBE. Reference: Military and Veterans Code section 999.2 (d).

Equipment (including equipment plus operator) that the Department rents from an equipment broker cannot be credited toward DVBE participation. Reference: Military and Veterans Code section 999.2 (e).

Make additional copies of the blank form as needed for each DVBE owner and/or manager.

The declaration shall include the name, address, telephone number, and tax identification number of the owner of each piece of equipment identified in the contract.

Piece of Equipment identified on Page 1, Document 00448:

I declare that (DVBE Name)

obtained this contract by representing that the enterprise was a DVBE meeting and maintaining all of the requirements of a DVBE.

Address

Telephone Number

Tax ID #

Signature of DVBE Owner

Signature of DVBE Manager

Date Signed

Date Signed

DOCUMENT 00450 (Page 1 of 2)

DVBE: CERTIFICATION OF COMMERCIALLY USEFUL FUNCTION

Notice to Bidder: In accordance with Document 00206, Paragraph 1.C, bidder is strongly urged to insure that, prior to listing a DVBE on Document 00445, each DVBE execute a certification of "commercially useful function," and to submit such certification to the Department. Document 00450 may be used for such purpose.

Make additional copies of the blank form as needed.

In accordance with Document 00206, Paragraph 8.E. 1) and 2) (reference Military and Veterans Code section 999 (b) (5) (B)), a DVBE contractor, subcontractor, or supplier is any person or entity that has been certified by the Department of General Services pursuant to Military and Veterans Code section 999 et seq. and that performs a "commercially useful function," as defined below, in providing services or goods that contribute to the fulfillment of the contract requirements:

- 1) A person or entity is deemed to perform a "commercially useful function" if a person or entity does all of the following:
 - a. Is responsible for the execution of a distinct element of the work of the contract.
 - b. Carries out the obligation by actually performing, managing, or supervising the work involved.
 - c. Performs work that is normal for its business services and functions.
 - d. Is not further subcontracting a portion of the work that is greater than that expected to be subcontracted by normal industry practices.
 - e. Is responsible, with respect to products, inventories, materials, and supplies required for the contract, for negotiating price, determining quality and quantity, ordering, installing, if applicable, and making payment.
- 2) A contractor, subcontractor, or supplier will not be considered to perform a commercially useful function if the contractor's, subcontractor's, or supplier's role is limited to that of an extra participant in a transaction, contract, or project through which funds are passed in order to obtain the appearance of disabled veteran business enterprise participation.

(continued on next page)

DOCUMENT 00450 (Page 2 of 2)

DVBE: CERTIFICATION OF COMMERCIALLY USEFUL FUNCTION

Specification No.: 17-09

Title: Spillways Oroville Emergency Recovery

The undersigned DVBE owner or officer certifies that the DVBE listed below will perform a commercially useful function as defined in Document 00206, Paragraph 8.E. 1) and 2). Reference: Military and Veterans Code section 999 (b) (5) (B).

Diversified Construction International Inc dba DCI Inc Name of DVBE

Water Proofing		
Work to be Performed	1999 - Jan Jan Jan Mana an	·····
Signature of DVBE Owner or Office		
Signature of DVBE Owner of Onin	Ceł	
President		
Title		
4/13/2017		
Date Signed	<u></u>	
Department of General Services		
DVBE Certification Number:	1786659	
Department of General Services		
DVBE Certification Date:	Jul 15, 2014	
Department of General Services		
Certification Expiration Date:	Jul 31, 2018	

DVBE: Certification of Commercially Useful Function

DOCUMENT 00450 (Page 2 of 2)

DVBE: CERTIFICATION OF COMMERCIALLY USEFUL FUNCTION

Specification No.: 17-09

Title:

Spillways Oroville Emergency Recovery

The undersigned DVBE owner or officer certifies that the DVBE listed below will perform a commercially useful function as defined in Document 00206, Paragraph 8.E. 1) and 2). Reference: Military and Veterans Code section 999 (b) (5) (B).

California Security Services, Inc Dba Elite Universal Security

Name of DVBE Unarmed Security Services Work to be Performed Monte M Mach Signature of DVBE Owner or Officer President Title

April 14, 2017

Date Signed

 Department of General Services
 32131

 DVBE Certification Number:
 05/16/2013

 DVBE Certification Date:
 05/16/2013

 Department of General Services
 05/16/2013

Certification Expiration Date:

04/30/2018

DVBE: Certification of Commercially Useful Function

DOCUMENT 00450 (Page 2 of 2)

DVBE: CERTIFICATION OF COMMERCIALLY USEFUL FUNCTION

Specification No.: 17-09

Title:

Spillways Oroville Emergency Recovery

The undersigned DVBE owner or officer certifies that the DVBE listed below will perform a commercially useful function as defined in Document 00206. Paragraph 8.E. 1) and 2). Reference: Military and Veterans Code section 999 (b) (5) (B).

ANDS DUBE, INC Name of DVBE

Traffic Control - Partial

Work to be Performed

In Forde President Signature of DVBE Owner or Officer

President Title

Date Signed

Department of General Services **DVBE Certification Number:**

Department of General Services DVBE Certification Date:

Department of General Services Certification Expiration Date:

K-17

DVBE: Certification of Commercially Useful Function

DOCUMENT 00450 (Page 2 of 2)

DVBE: CERTIFICATION OF COMMERCIALLY USEFUL FUNCTION

Specification No.:_____

Title:_____

The undersigned DVBE owner or officer certifies that the DVBE listed below will perform a commercially useful function as defined in Document 00206, Paragraph 8.E. 1) and 2). Reference: Military and Veterans Code section 999 (b) (5) (B).

Name of DVBE

Work to be Performed

Signature of DVBE Owner or Officer

Title

Date Signed

Department of General Services
DVBE Certification Number:

Department of General Services DVBE Certification Date:

Department of General Services Certification Expiration Date:

PROJECT TITLE:	SPILLWAYS
LOCATION:	OROVILLE EMERGENCY RECOVERY
SPECIFICATION NO .:	17-09
CONTRACT NO.:	C51544
ISSUED BY:	STATE OF CALIFORNIA DEPARTMENT OF WATER RESOURCES

The following changes are hereby made by this addendum:

 New and revised specification page numbers: 00800-4, 00800-5, 01110-4, 01110-5, 01110-6, 01110-7, 01110-8, 01550-2, 2090-7, 02090-8, 02090-10, 02090-12, 02090-13, 02090-15, 02090-22, 02090-23, 02090-24, 02090-26, 02090-27, 02211-3, 02211-4, 03300-2, 03300-6, 03300-7, 03300-8, 03300-9, 03300-10, 03300-11, 03300-12, 03300-13, 03300-14,03300-15, 03300-17, 03300-18, 03300-20, 03300-21, 03300-22, 03300-23, 03300-24, 03300-25, 03300-26, 03300-30, 03300-32, 03300-33, 03300-25, 03300-36, 03300-37, 03300-38, 03300-41, 03300-42, 03300-44, 03300-45, 03300-46, 03300-47, 03305, 5, 03390-5, 03800-7, 03800-11.

BIDDER'S SIGNATURE

This addendum shall be signed below in the same manner as the bid is signed.

Bidder:	Oroville Dam Constructors	
By (Authorized Officer):	Jach Qu	
	Jigisha Desai, Attorney in Fact	
Business Address:	585 West Beach Street	
	Watsonville, CA 95076	
Dated:	April 15_, 2017.	
THIS ADDENDUM COM	IPRISES 59 PAGES.	
THIS COVER PAGE MU	JST BE SIGNED AND RETURNED WITH THE BID.	

(Addn. No. 1, Pg. 1) K-17

PROJECT TITLE: SPILLWAYS

LOCATION: OROVILLE EMERGENCY RECOVERY

SPECIFICATION NO.: 17-09

CONTRACT NO.: C51544

ISSUED BY: STATE OF CALIFORNIA DEPARTMENT OF WATER RESOURCES

The following changes are hereby made by this addendum:

1. New and revised specification page numbers: 00002-1

BIDDER'S SIGNATURE

This addendum shall be signed below in the same manner as the bid is signed.

Bidder: Oroville Dam Constructors

By (Authorized Office): Jas Ou
	Jigisha Desai, Attorney in Fact
Business Address:	585 West Beach Street
_	Watsonville, CA 95076
Dated:	April 15 , 2017.

THIS ADDENDUM COMPRISES 2 PAGES.

THIS COVER PAGE MUST BE SIGNED AND RETURNED WITH THE BID.

EXECUTION OF THIS ADDENDUM CONSTITUTES ACKNOWLEDGEMENT OF THE PREVIOUS 1 ADDENDA.

PROJECT TITLE:	SPILLWAYS
LOCATION:	OROVILLE EMERGENCY RECOVERY
SPECIFICATION NO.:	17-09
CONTRACT NO.:	C51544
ISSUED BY:	STATE OF CALIFORNIA

- The following changes are hereby made by this addendum:
 New and revised specification page numbers:00002-3, 00007-2, 00007-3, 00010-2, 00010-3, 00410-1, 00410-2, 00410-3, 00410-4, 01110-2, 01110-4, 01110-6, 01520-1, 01535-1, 01535-2, 01535-3, 01535-4, 01550-1, 01550-2, 01550-3, 02090-1, 02090-2, 02090-3, 02090-4, 02090-5, 02090-6, 02090-7, 02090-8, 02090-9, 02090-10, 02090-11, 02090-12, 02090-13, 02090-14, 02090-15, 02090-16, 02300-1, 02300-7, 02300-8, 02300-11, 02300-13, 02300-14, 02300-17. 03370-3, 03370-4, 03370-6. 03390-1 (47 pages)

DEPARTMENT OF WATER RESOURCES

- 3. Section 03300-1through 03300-49 replaced in its entirety (49 pages).
- 4. Deleted Section 03390 in its entirety.03390-1 (1 page).
- 5. Revised Section 09970 (09970-1, 09970-2, 09970-3) (3 pages).
- 6. Revised drawing sheet numbers 001 to 084.

BIDDER'S SIGNATURE

This addendum shall be signed below in the same manner as the bid is signed.

Bidder: C	Droville Dam Constructors
By (Authorized Officer):	ha Desal Attorney in Fact
Business Address:	585 West Beach Street
	Watsonville, CA 95076
Dated:	April 15 , 2017.

THIS ADDENDUM COMPRISES 104 PAGES.

THIS COVER PAGE MUST BE SIGNED AND RETURNED WITH THE BID.

EXECUTION OF THIS ADDENDUM CONSTITUTES ACKNOWLEDGEMENT OF THE PREVIOUS 2 ADDENDA.

(Addn. No. 3, Pg. 1) K-17

PROJECT TITLE:	SPILLWAYS
LOCATION:	OROVILLE EMERGENCY RECOVERY
SPECIFICATION NO .:	17-09
CONTRACT NO .:	C51544
ISSUED BY:	STATE OF CALIFORNIA DEPARTMENT OF WATER RESOURCES

The following changes are hereby made by this addendum:

- 1. New and revised specification page numbers: 00007-1, 00010-3, 00300-3, 00410-2, 01322-1, 01330-5, 01330-10, 01535-3, 01580-1, 01580-2, 01580-3, 01580-5, 02220-1, 02220-2, 02220-3, 02521-1, 02521-2, 02521-3, 02521-4, 02521-5, 02521-6, 02521-7, 02521-8, 02521-9, 02521-10, 02521-11, 03100-2, 03100-8, 03304-1, 03304-4, 03304-5, 03305-1, 03305-5, 03305-6, 03800-1, 03800-3, 03800-4, 03800-6, 03800-7, 03800-8, 03800-10, 03800-11, 03800-15, 03800-22, 03800-23, and 03800-34.
- 2. New Section: 02521 (11 pages)
- Revised drawing sheet numbers 085 to 109.

BIDDER'S SIGNATURE

This addendum shall be signed below in the same manner as the bid is signed.

Bidder:	Oroville Dam Constructors
By (Authorized Officer):	Jane Ola
	Jigisha Desai, Attorney in Fact
Business Address:	585 West Beach Street
	Watsonville, CA 95076
Dated:	April 15, 2017.
THIS ADDENDUM COM	IPRISES 47 PAGES AND 25 DRAWINGS.
THIS COVER PAGE ML	JST BE SIGNED AND RETURNED WITH THE BID.

EXECUTION OF THIS ADDENDUM CONSTITUTES ACKNOWLEDGEMENT OF THE PREVIOUS 3 ADDENDA.

(Addn. No. 4, Pg. 1) K-17

PROJECT TITLE:	SPILLWAYS	
LOCATION:	OROVILLE EMERGENCY RECOVERY	
SPECIFICATION NO .:	17-09	
CONTRACT NO .:	C51544	
ISSUED BY:	STATE OF CALIFORNIA DEPARTMENT OF WATER RESOURCES	

The following changes are hereby made by this addendum:

1. New and revised specification page numbers: 00200-5, 00410-2, 00410-4, 01330-10, 01330-13, 01580-1, 02206-1, 02206-3, 02206-4, 02206-4a, 02206-5, 02211-1, 02211-2, 02211-4, 02211-4a, 02220-1, 02220-2, 02220-3, 02300-8, 02720-3, 02720-4, 02820-1, 02820-2, 02820-2a, 02820-3 and 03300-24.

BIDDER'S SIGNATURE

This addendum shall be signed below in the same manner as the bid is signed.

Bidder: Oroville Dam Constructors

By (Authorized Officer)	: Jahr On	
, ,	Jigisha Desar, Attorney in Fact	
Business Address:	585 West Beach Street	
	Watsonville, CA 95076	

Dated: _____ April 15 , 2017.

THIS ADDENDUM COMPRISES 27 PAGES.

THIS COVER PAGE MUST BE SIGNED AND RETURNED WITH THE BID.

EXECUTION OF THIS ADDENDUM CONSTITUTES ACKNOWLEDGEMENT OF THE PREVIOUS 4 ADDENDA.

(Addn. No. 5, Pg. 1) K-17

PROJECT TITLE:	SPILLWAYS
LOCATION:	OROVILLE EMERGENCY RECOVERY
SPECIFICATION NO .:	17-09
CONTRACT NO .:	C51544
ISSUED BY:	STATE OF CALIFORNIA DEPARTMENT OF WATER RESOURCES

The following changes are hereby made by this addendum:

1. New and revised specification page numbers: 00410-1, 00410-3, 01110-8, 02521-1, 02521-6, 02521-7, 02521-10, 02521-11, 03304-5, 03305-5, and 03305-6.

BIDDER'S SIGNATURE

This addendum shall be signed below in the same manner as the bid is signed.

Bidder: _____ Oroville Dam Constructors

By (Authorized Officer):	Jigisha Deşai, Attorney in Fact
Business Address:	585 West Beach Street
	Watsonville, CA 95076
Dated:	April 15 , 2017.

THIS ADDENDUM COMPRISES 12 PAGES.

THIS COVER PAGE MUST BE SIGNED AND RETURNED WITH THE BID.

EXECUTION OF THIS ADDENDUM CONSTITUTES ACKNOWLEDGEMENT OF THE PREVIOUS 5 ADDENDA.

(Addn. No. 6, Pg. 1) K-17

PROJECT TITLE:	SPILLWAYS
LOCATION:	OROVILLE EMERGENCY RECOVERY
SPECIFICATION NO .:	17-09
CONTRACT NO.:	C51544
ISSUED BY:	STATE OF CALIFORNIA DEPARTMENT OF WATER RESOURCES

The following changes are hereby made by this addendum:

1. New and revised specification page numbers: 02211-4 and 02211-4a.

BIDDER'S SIGNATURE

This addendum shall be signed below in the same manner as the bid is signed.

Bidder: Oroville Dam Constructors

By I	(Authorized	Officer)	1
Dy	Authonizeu	Unicer	

Jigisha Desai, Attorney in Fact

Business Address: _ 585 West Beach Street, Watsonville, CA 95076

Dated: _____ April 15 , 2017.

THIS ADDENDUM COMPRISES 3 PAGES.

THIS COVER PAGE MUST BE SIGNED AND RETURNED WITH THE BID.

EXECUTION OF THIS ADDENDUM CONSTITUTES ACKNOWLEDGEMENT OF THE PREVIOUS 6 ADDENDA.

