

# FLOOD MAINTENANCE ASSISTANCE PROGRAM

Funded by  
FLOOD MAINTENANCE OFFICE  
GENERAL FUND

FISCAL YEAR 2018-19  
PROPOSAL SOLICITATION PACKAGE



STATE OF CALIFORNIA  
THE DEPARTMENT OF WATER RESOURCES

# **FLOOD MAINTENANCE ASSISTANCE PROGRAM PROPOSAL SOLICITATION PACKAGE**

The California Department of Water Resources invites you to submit a proposal for funding assistance with flood maintenance activities that you have provided assurance to Central Valley Flood Protection Board to perform.

## **PROPOSAL DUE DATES**

JANUARY 25, 2019

FEBRUARY 22, 2019

MARCH 25, 2019

## **PROPOSAL SUBMITTAL**

All applicants must submit a hardcopy response to the address below:

For regular mail please use the following address:

Attention: Jeff H. Van Gilder  
Flood Maintenance Office  
California Department of Water Resources  
P.O. Box 219000  
Sacramento, CA 95821-9000

For hand delivery or overnight carrier use the following address:

Jeff H. Van Gilder, Program Manager  
Flood Maintenance Office  
3310 El Camino Avenue, Room 140  
Sacramento, CA 95821

## **CONTACT**

Jeff H. Van Gilder  
(916) 574-2745

[jeff.vangilder@water.ca.gov](mailto:jeff.vangilder@water.ca.gov)

## 1. INTRODUCTION

The Flood Maintenance Assistance Program (FMAP) is a new program providing state funds for eligible maintenance activities with Local Maintaining Agencies (LMAs) including Maintenance Areas (MAs) to help obtain acceptable maintenance of the State Plan of Flood Control facilities (levees, channels, and structures). The purpose of FMAP is ensure State Plan of Flood Control (SPFC) facilities are properly maintained with sufficient resources (including funding) to meet Code of Federal Regulations, Title 33, section 208.10 (33 CFR 208.10), and applicable Operation and Maintenance (O&M) manual requirements.

The FMAP will partner with LMAs to work towards sustainable O&M by:

- Providing State funds to LMAs to reduce the accumulation of deferred maintenance.
- Providing technical assistance to enable the LMAs to effectively perform the maintenance activities in an efficient manner.
- Securing new Operation, Maintenance, Repair, Rehabilitation, and Replacement (OMRR&R) assurance agreements between LMAs and CVFPB.
- Promoting consolidation or regional governance of LMAs, so SPFC facilities can be more efficiently and effectively maintained.
- Sustaining and improving eligibility for USACE PL 84-99 Program and ultimately compliance with USACE O&M manual requirements.

Selection for participation and funding under FMAP will be determined by information requested in the questionnaire that will confirm and verify the LMAs willingness to pursue and obtain a PL 84-99 eligibility and having sufficient funding to perform OMRR&R activities annually.

## 2. REQUIREMENTS AND LIMITATIONS

All LMAs are required to meet all the following criteria to receive FMAP funding:

- Levee must be within State Plan of Flood Control (SPFC).
- Applicant must have current compliance with PL 84-99, existing USACE Letters of Intent/System Wide Improvement Framework plans (LOI/SWIF) or commit to compliance by submitting a LOI and SWIF plan through FMAP.
- LMAs must provide a copy of an existing SWIF or LOI with the USACE.
- In areas where USACE System includes multiple LMAs, coordinate and collaborate among the LMAs to ensure the submittals meet the requirement for the USACE LOI/SWIF for the project area. Regional Flood Management Assistance Program (RFMAP) funding can be used for coordination activities.
- LMA must execute a new assurance agreement (OMRR&R) with CVFPB for the entire jurisdiction of the LMA.
- LMA must meet all DWR flood reporting requirements, such as Assembly Bill (AB) 156 (Stats. 2007, ch. 368).

- LMA must work with the region to find solutions for more effective and efficient delivery of the required O&M for SPFC facilities.
- LMA levee is not or is not anticipated to receive Early Implementation Program/Urban Flood Risk Reduction Program (EIP/UFRR) and/or USACE project repair or improvement funding.
- If LMA is entitled to receive Delta Subventions funding, no O&M funding will be provided. LOI/SWIF funding will be limited to what is needed beyond the planning funds provided by the Delta Subventions program.

### 3. FUNDING

Available funding for eligible participants will be made available in Fiscal Years 18/19 and 19/20 for the following activities:

1. DWR will fund \$20,000 per LMA for preparation of a USACE LOI/SWIF, if the LMA is willing to commit to complete the process. If the LMA already has a LOI & SWIF in place, which means they are currently eligible for PL 84-99, funding under this item can be allocated for additional identified OMRR&R activities.
2. DWR will fund \$20,000 per LMA for preparation of technical/engineering reports needed to secure sufficient funding to manage their SPFC system to meet the requirements in Code of Federal Regulations, Title 33, Section 208.10, O&M Manual, and new OMRR&R agreement, if they are willing to commit to complete the process. If the LMA is already well funded and does not have an Unacceptable Rating from State Annual Maintenance Inspection or a recently passed a Proposition 218 Election, LMA may use the funding for additional identified O&M activities.
3. DWR will provide matching funds, up to an identified amount needed for O&M activities, for dollars spent during last fiscal year for O&M activities based on the formula below. Only LMAs participating in items #1 and #2 above are eligible to receive the additional funding.
  - 75% matching funds for the first \$10,000 per mile expenditure.
  - 50% matching funds for expenditures in excess of \$10,000 per mile.
  - All funding will depend on the program demand and fund availability.

Funds will be advanced for the LOI/SWIF and Engineering Report (items 1 & 2 above). Funds may be advanced on a quarterly basis for the O&M activities upon approval and verification.

Costs *eligible* for funding through this program O&M activities include the following:

- Gravel for access roads and levee crown
- Rock slope protection (RSP) for minor embankment repairs
- Minor seepage control repairs

- Minor stability repairs
- Vegetation management
- Rodent abatement
- Flood control structure/facilities upgrades
- Gates/fencing maintenance

Costs *not eligible* for funding through this program include the following:

- Administrative personnel
- Purchase and maintenance of general use vehicles
- New facilities
- Utility cost reimbursement
- Administrative overhead charges
- Travel or commute costs
- Non-SPFC O&M activities

#### 4. SELECTION CRITERIA

All LMAs are potentially eligible to receive FMAP funding if they are willing to take the necessary actions to be eligible for PL 84-99 and secure sufficient funding to perform the required OMRR&R activities annually. The program is designed to support the LMAs to be successful in carrying out their responsibilities under OMRR&R. The level of funding and what the funding can be used will depend on the submittals listed below.

#### 5. TIMELINE

Considering the level of information requested, the request for funding will be reviewed by submittals received by a given date. Submittals received by the established dates will be reviewed and considered based on the order received. If the funding is exhausted after the first or second submittal date, no requests will be considered for subsequent submittal dates. If all the funds are not fully allocated to LMAs after the three submittal dates, the funds will be used for maintenance activities for State Maintained Areas.

The following dates are the established cutoff for the FY18/19 funding cycle.

- January 25, 2019
- February 22, 2019
- March 25, 2019

## 6. SUBMITTAL

Attachment A to this PSP is a questionnaire and supplemental information package. The following requested information must be provided or if not applicable, the applicant must clearly state the reason why the information was not provided. Applications not containing all required information or acceptable reasons for omission will not be further reviewed or considered for funding in the current round, but they will have the opportunity to provide the complete information in the next round.

- Completed Questionnaire & Supplemental information
- A list and map of SPFC facilities LMA is responsible for
- Correspondence indicating current USACE PL 84-99 eligibility, if applicable
- Submitted LOI, if applicable
- USACE approved LOI, if applicable
- Submitted SWIF, if applicable
- USACE approved SWIF, if applicable
- List of LMAs, beside the applicant, in the USACE System, if applicable
- AB156 compliance report
- O&M activities along with actual cost for 2017 and 2018
- List of prioritize OMR&R activities that requires State assistance
- List of recent (last 10 years) Delta Subventions, EIP/UFRR, USACE, or other major improvement projects on SPFC facilities; provide brief scope of work

Applicant may include supplemental materials that they believe would assist in making the funding decision.

## ATTACHMENT A

### FLOOD MAINTENANCE ASSISTANCE PROGRAM (FMAP)

This questionnaire and submittal would provide us the needed information to evaluate the appropriate level of participation in FMAP. FMAP has several requirements that must be met to receive eligible funding as described in the Proposal Solicitation Package (PSP). Please answer the following questions and provide the requested information.

LMAs \_\_\_\_\_

Authorized Agent \_\_\_\_\_

Address \_\_\_\_\_

Phone Number \_\_\_\_\_

Legal Counsel \_\_\_\_\_

Legislative District (Senate and Assembly) \_\_\_\_\_

Other Contact Information \_\_\_\_\_

1. Does LMA have State Plan of Flood Control (SPFC) facilities? (Yes/No)
2. Does LMA have current PL 84-99 eligibility? (Yes/No) If Yes, please provide supporting documentation.
3. Does LMA have an approved LOI or SWIF with USACE? (Yes/No) If Yes, please provide a copy of the approval.
4. If No, has LMA submitted a LOI or SWIF currently pending with USACE? (Yes/No) If Yes, please provide a copy of the documents.
5. If No on questions 3 & 4, is LMA willing to commit to pursuing and obtaining a USACE SWIF, which includes submittal of LOI & SWIF? (Yes/No)
6. Is there more than one LMA in your leveed area (USACE system)? (Yes/No) If Yes, is LMA cooperating or willing to cooperate with the other LMAs to pursue and obtain an approved USACE LOI and SWIF within a USACE System? (Yes/No)
7. Is LMA willing to execute a new assurance agreement (OMRR&R) with CVFPB for the entire leveed area (USACE system)? (Yes/No)
8. Is LMA willing to secure sufficient local funding to perform OMRR&R activities required by the assurance agreement? (Yes/No)
9. Is LMA willing to meet DWR reporting requirements, such as AB 156? (Yes/No)

10. Is LMA willing to provide actual cost and scope of the last two full years of O&M activities? (Yes/No)
11. Is LMA willing to prioritize deficiencies within the leveed area (USACE system) and commit to OMRR&R activities that will reduce flood risk and make LMA compliant with PL 84-99? (Yes/No)
12. Is LMA willing to coordinate and work with RFMP to implement the plan identified in the SWIF? (Yes/No)
13. Is LMA currently participating in other DWR programs such as Delta Subventions, EIP/UFRR, or FSRP? (Yes/No) If yes, please identify and describe the project or activity.

Additional questions required of all new solicitations to fulfil State's requirement under EO B-30-15, AB 1482, & AB 2800. Answering in any way does **not** affect a LMA's eligibility to receive funding.

14. Does the LMA have a strategic business plan? (Yes/No) If yes, please submit a copy.
15. Has the LMA conducted a climate change vulnerability assessment? (Yes/No) If yes, please submit a copy
16. Does the LMA have a main contact person for climate change? (Yes/No) If yes, to what position in the origination does that person report?
17. Has the LMA considered the risk of climate change in its capital reserves and investments?

Please provide the following project information:

- Briefly describe the leveed area (USACE system) and what is protected within the project basin.
- Explain how you intend to become compliant with the SWIF process and USACE and DWR inspections.
- Please provide verifiable and detailed information of eligible OMRR&R activities and projects conducted by the LMA the last two full years.
- Provide a list of eligible OMRR&R activities and projects that you intend to conduct or construct.
- Please prioritize the activities and detail the scope of the projects. This includes project description, proposed schedule, and proposed budget. Budget details must include personnel, equipment, and material costs.
- Describe the project benefits and how it works toward USACE and DWR levee inspection compliance.