Payment to Agency Report

1. Agency Name
   Department of Water Resources
   Division, Department, or Region (if applicable)
   Executive Division/SWP
   Street Address
   1416 9th Street, Sacramento, CA 94236-0001
   Area Code/Phone Number
   (916) 553-8043
   Email
   mark.andersen@water.ca.gov
   Agency Contact (name and title)
   Carl Torgersen

2. Donor Name and Address
   ☐ Individual ________________________________________________ ☐ Other __________________________
   Last Name: Fuxing
   First Name: Beijing, China 100038
   Address: A-1 Fuxing Road,
   City: Beijing
   State: China
   Zip Code: 100038
   China Institute of Water Resources and Hydropower Research (IWHR)-Chinese Government
   If "Other" is marked, describe the entity's business activity (if business) or its nature and interests.

3. Payment Information (Complete Sections 3.1 (a or b), 3.2, 3.3)
   3.1 (a) Travel Payment
   See Attachments
   Location of Travel ________________________________
   Transportation Provider
   ☐ Rail ☐ Air ☐ Bus ☐ Auto ☐ Other
   Check Applicable Boxes
   Name of Lodging Facility __________________________
   Transportation Expenses: $ __________________
   Meal Expenses: $ __________________
   Lodging Expenses: $ __________________
   Other Expenses: $ __________________
   Total Expenses: $ __________________
   Dates (month, day, year): 7/16/16-7/23/16
   3.1 (b) Payment(s) not related to travel:
   Names ________________________________ Amounts __________________
   Dates (month, day, year): ____________________ Total Expenses: __________________

3.2. Payment Description. Provide a specific description of the payment and its agency purpose and use.
   All costs incurred and paid by the China IWHR. Trip purpose: visit/inspect China South to North Water Diversion Project facilities, share operational/financial details of the SWP with CSNWDP engineers/managers/similar representatives from IWHR at day long seminar 7/18/2016

3.3. Identify the officials who used the payment in Section 3.1 (See instructions)
   Andersen Mark
   Last Name First Name
   Acting Deputy Director
   Position/Title
   Leahigh John
   Last Name First Name
   Principal Engineer
   Position/Title
   State Water Project
   Department/Division
   Operations & Maintenance
   Department/Division

4. Verification
   I authorized the acceptance of the reported payment(s) as in compliance with FPPC regulations.
   Signature __________________________
   Print Name __________________________
   Title __________________________
   (month, day, year) __________________

Comment: __________________________________________________________________________________________
(Use this space or an attachment for any additional information)

FPPC Form 801 (Jan/14)
advice@fppc.ca.gov
DWR State Water Project Management Trip to China - July 16 to July 23 2016
All costs below incurred and paid by the China Institute of Water Resources and Hydropower Research
The trip purpose was to visit/inspect China South to North Water Diversion Project facilities
and share operational and financial details of the SWP with the CSNWDP engineers/managers
as well as similar representatives from the IWHR at day long seminar on 7/18/16

<table>
<thead>
<tr>
<th>DWR Managers</th>
<th>Air Fare (China Air)</th>
<th>Lodging</th>
<th>Meals</th>
<th>Transportation, mail, &amp; tickets</th>
<th>China Business Visitor's Visa</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mark Andersen</td>
<td>$4,365.44</td>
<td>$450.03</td>
<td>$320.88</td>
<td>$133.82</td>
<td>$248.51</td>
</tr>
<tr>
<td>John Leahigh</td>
<td>$2,350.62</td>
<td>$450.03</td>
<td>$320.88</td>
<td>$133.82</td>
<td>$248.51</td>
</tr>
<tr>
<td><strong>Subtotals</strong></td>
<td><strong>$6,716.07</strong></td>
<td><strong>$900.05</strong></td>
<td><strong>$641.76</strong></td>
<td><strong>$267.64</strong></td>
<td><strong>$497.02</strong></td>
</tr>
<tr>
<td><strong>Grand Total</strong></td>
<td><strong>$9,022.53</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
LETTER OF CERTIFICATION

To whom it may concern,

Thanks firstly for all the great efforts by Mr. Mark Edward Andersen and Mr. John William Leahigh for their excellent presentations and effective communications in the seminar in Beijing on water diversion projects. As promised in our invitation letter, China Institute of Water Resources and Hydropower Research (IWHR) is responsible for all their expenses in China, and we are sending this letter of certification with regard to details as follows:

1. Two roundtrip air tickets: 44,592RMB
2. Visa application: 3,300RMB
3. Lodging for six nights: 5,976RMB
4. Board for seven days: 4261RMB
5. Misc.: 1777.4RMB, including express mailing fees for the invitation letter, educational materials and souvenirs, as well as tickets for the Great Wall.

The total expenditure is 59906.4RMB.

We are also certifying that the following issues:
1. They are receiving two courtesy gifts of 150RMB respectively.
2. Except all the activities for the seminar and field trips to South-to-North Water Diversion Project(SNWDP) and the SNWDP destination in the Summer Palace, we also organized one day for sight-seeing to the Great Wall.
3. It is a great pity that we had to cancel their trip to the Three Gorges due to the heavy rainfall of 100 year return period.

If there is any question, please feel free to contact us at any time.

Sincerely Yours,
China Institute of Water Resources and Hydropower Research (IWHR)
### Payment to Agency Report

**1. Agency Name**
California Natural Resources Agency  
Department of Water Resources - Division of Safety of Dams  
2200 X Street, Suite 200, Sacramento, CA 95818

**2. Donor Name and Address**

<table>
<thead>
<tr>
<th>Donor Name</th>
<th>Address</th>
</tr>
</thead>
<tbody>
<tr>
<td>Michael Waggoner, Chief, Field Engineering Branch</td>
<td>1515 Walnut Grove Avenue, Rosemead, CA 91770</td>
</tr>
</tbody>
</table>

**3. Payment Information (Complete Sections 3.1 (a or b), 3.2, 3.3)**

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lodging Expenses</td>
<td>$0.00</td>
</tr>
<tr>
<td>Meal Expenses</td>
<td>$0.00</td>
</tr>
<tr>
<td>Transportation Expenses</td>
<td>$100.00</td>
</tr>
<tr>
<td>Other Expenses</td>
<td>$0.00</td>
</tr>
<tr>
<td>Total Expenses</td>
<td>$100.00</td>
</tr>
</tbody>
</table>

**3.1 (a) Travel Payment**

<table>
<thead>
<tr>
<th>Location of Travel</th>
<th>Dates (month, day, year)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mono County</td>
<td>9/21/2016</td>
</tr>
</tbody>
</table>

**3.1 (b) Payment(s) not related to travel:**

<table>
<thead>
<tr>
<th>Dates (month, day, year)</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$0.00</td>
</tr>
</tbody>
</table>

**3.2. Payment Description.**

Helicopter travel was offered by SCE to expedite travel to jointly inspect dams in remote areas under State jurisdiction for dam safety. The use of a helicopter is more efficient for both organizations and generally a safer mode of transportation to dams with limited road access.

**3.3. Identify the officials who used the payment in Section 3.1 (See Instructions)**

<table>
<thead>
<tr>
<th>Last Name</th>
<th>First Name</th>
<th>Position/Title</th>
<th>Department/Division</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wulff</td>
<td>Eric</td>
<td>Senior Engineer, W.R.</td>
<td>DWR/DSOD</td>
</tr>
</tbody>
</table>

**4. Verification**

I authorized the acceptance of the reported payment(s) as in compliance with FPPC regulations.

**Signature**
Michael Waggoner  
Field Engineering Branch Chief  
10/11/14

**Comment:**
(Use this space or an attachment for any additional information)

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**Clear Page**
## Payment to Agency Report

### 1. Agency Name
- California Natural Resources Agency
- Department of Water Resources - Division of Safety of Dams

### 2. Donor Name and Address
- **Hydroelectric Power Generation**
- If "Other" is marked, describe the entity’s business activity (if business) or its nature and interests.

<table>
<thead>
<tr>
<th>Name</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>N/A</td>
<td>$0.00</td>
</tr>
<tr>
<td>N/A</td>
<td>$0.00</td>
</tr>
</tbody>
</table>

### 3. Payment Information (Complete Sections 3.1 (a or b), 3.2, 3.3)

#### 3.1 (a) Travel Payment
- **Location of Travel:** Nevada County
- **Dates (month, day, year):** 9/27/2016

<table>
<thead>
<tr>
<th>NID</th>
<th>Transportation Provider</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>□ Rail</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Lodging Expenses</th>
<th>Meal Expenses</th>
<th>Transportation Expenses</th>
<th>Other Expenses</th>
<th>Total Expenses</th>
</tr>
</thead>
<tbody>
<tr>
<td>$0.00</td>
<td>$0.00</td>
<td>$800.00</td>
<td>$0.00</td>
<td>$800.00</td>
</tr>
</tbody>
</table>

#### 3.1 (b) Payment(s) not related to travel:

<table>
<thead>
<tr>
<th>N/A</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$0.00</td>
</tr>
</tbody>
</table>

#### 3.2. Payment Description

Helicopter travel was offered by NID to expedite travel to jointly inspect dams in remote areas under State jurisdiction for dam safety. The use of a helicopter is more efficient for both organizations and is generally a safer mode of transportation to dams with limited road access.

#### 3.3. Identify the officials who used the payment in Section 3.1

<table>
<thead>
<tr>
<th>Last Name</th>
<th>First Name</th>
<th>Position/Title</th>
</tr>
</thead>
<tbody>
<tr>
<td>Roundtree</td>
<td>Austin</td>
<td>Engineer, W.R.</td>
</tr>
<tr>
<td>Waggoner</td>
<td>Michael</td>
<td>Field Engineer</td>
</tr>
</tbody>
</table>

### 4. Verification

I authorized the acceptance of the reported payment(s) as in compliance with FPPC regulations.

**Signature:** Michael Waggoner  **Print Name:** Michael Waggoner  **Title:** Field Engineering Branch Chief  **Date:** 10/18/16

Comment:

(Use this space or an attachment for any additional information)
1. Agency Name
California Natural Resources Agency
Division, Department, or Region (if applicable)
Department of Water Resources - Division of Safety of Dams
Street Address
2200 X Street, Suite 200, Sacramento, CA 95818
Area Code/Phone Number 916-227-9800
Agency Contact (name and title)
Michael Waggoner, Chief, Field Engineering Branch

2. Donor Name and Address

[ ] Individual  N/A  [ ] Other

Last Name  N/A  Last Name  Sacramento Municipal Utility District (SMUD)
First Name  Sacramento  CA  95852
Power Generation
If "Other" is marked, describe the entity's business activity (if business) or its nature and interests

[ ] Individual  N/A  [ ] Other

Last Name  N/A  Last Name  Sacramento Municipal Utility District (SMUD)
First Name  Sacramento  CA  95852
Power Generation
If "Other" is marked, describe the entity's business activity (if business) or its nature and interests

[ ] Individual  N/A  [ ] Other

Last Name  N/A  Last Name  Sacramento Municipal Utility District (SMUD)
First Name  Sacramento  CA  95852
Power Generation
If "Other" is marked, describe the entity's business activity (if business) or its nature and interests

3. Payment Information (Complete Sections 3.1 (a or b), 3.2, 3.3)

3.1 (a) Travel Payment  El Dorado County  8/31/2016
Location of Travel
SMUD
Transportation Provider  Rail  Air  Bus  Auto  Other
Check Applicable Boxes
$0.00 $0.00 $500.00 $0.00 $500.00
Lodging Expenses  Meal Expenses  Transportation Expenses  Other Expenses  Total Expenses

3.1 (b) Payment(s) not related to travel:

N/A  $0.00

3.2. Payment Description. Provide a specific description of the payment and its agency purpose and use.
Helicopter travel was offered by SMUD to expedite travel to jointly inspect dams in remote areas under State jurisdiction for dam safety. The use of a helicopter is more efficient for both organizations and is generally a safer mode of transportation to dams with limited road access.

3.3. Identify the officials who used the payment in Section 3.1 (See Instructions)
Jimenez  Timothy  Engineer, W.R.  DWR/DSOD
Last Name  First Name  Position/Title  Department/Division

4. Verification
I authorized the acceptance of the reported payment(s) as in compliance with FPPC regulations.

Michael Waggoner  Field Engineering Branch Chief
Signature  Title

Comment:
(Use this space or an attachment for any additional information)